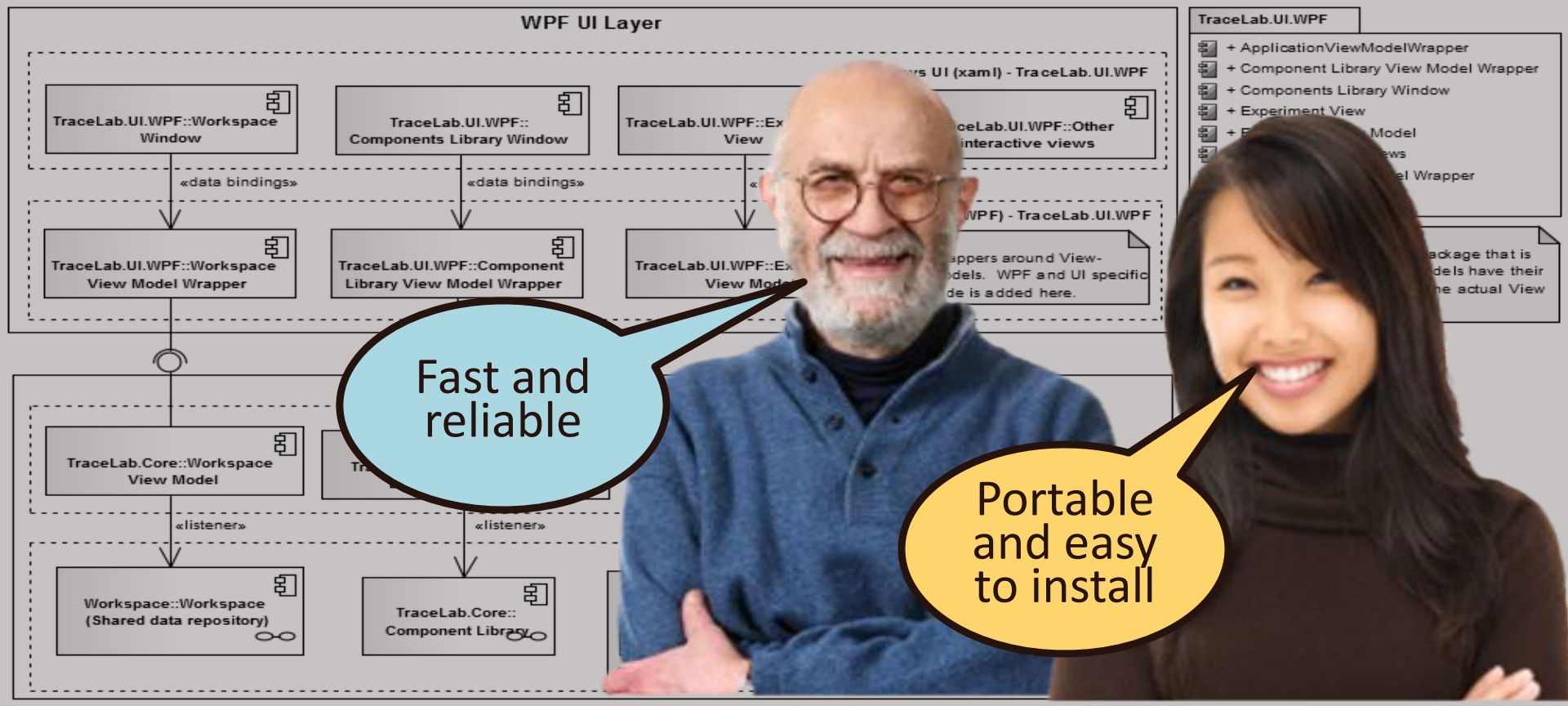

PRESERVING, GENERATING, AND VISUALIZING KNOWLEDGE OF ARCHITECTURALLY SIGNIFICANT REQUIREMENTS IN SOURCE CODE

Institute for Software Research
Distinguished Speaker Series
University of California, Irvine
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DePaul University

DePaul University

CoEST
Center of Excellence for Software Traceability

Research funded by the US National Science Foundation under Major Research Instrumentation Grants CCF-0959924 and CCF-1265178.

Architecturally Significant Requirements

- Play a strategic role in driving architectural design
- Often critical to the success (or failure of a system).
- Often represent quality concerns such as performance, portability, reliability etc.
- **Non-functional Requirements** (NFRs) are often overlooked in the requirements specification process.

Images Courtesy of Elekta, Inc.

Example: A medical device used to perform laser surgery must be **highly responsive.**

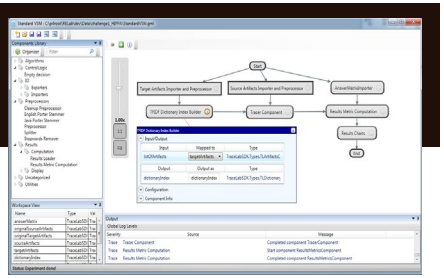
Talk Outline

- Architecturally Significant Requirements and their impact on architectural design.
  - Focus on agile projects
  - Examples from TraceLab project
- Establishing and utilizing trace links between quality concerns and code
  - Patterns of traceability
  - Archie tool
- Recovering architectural knowledge
  - Machine learning techniques

Working with ASRs

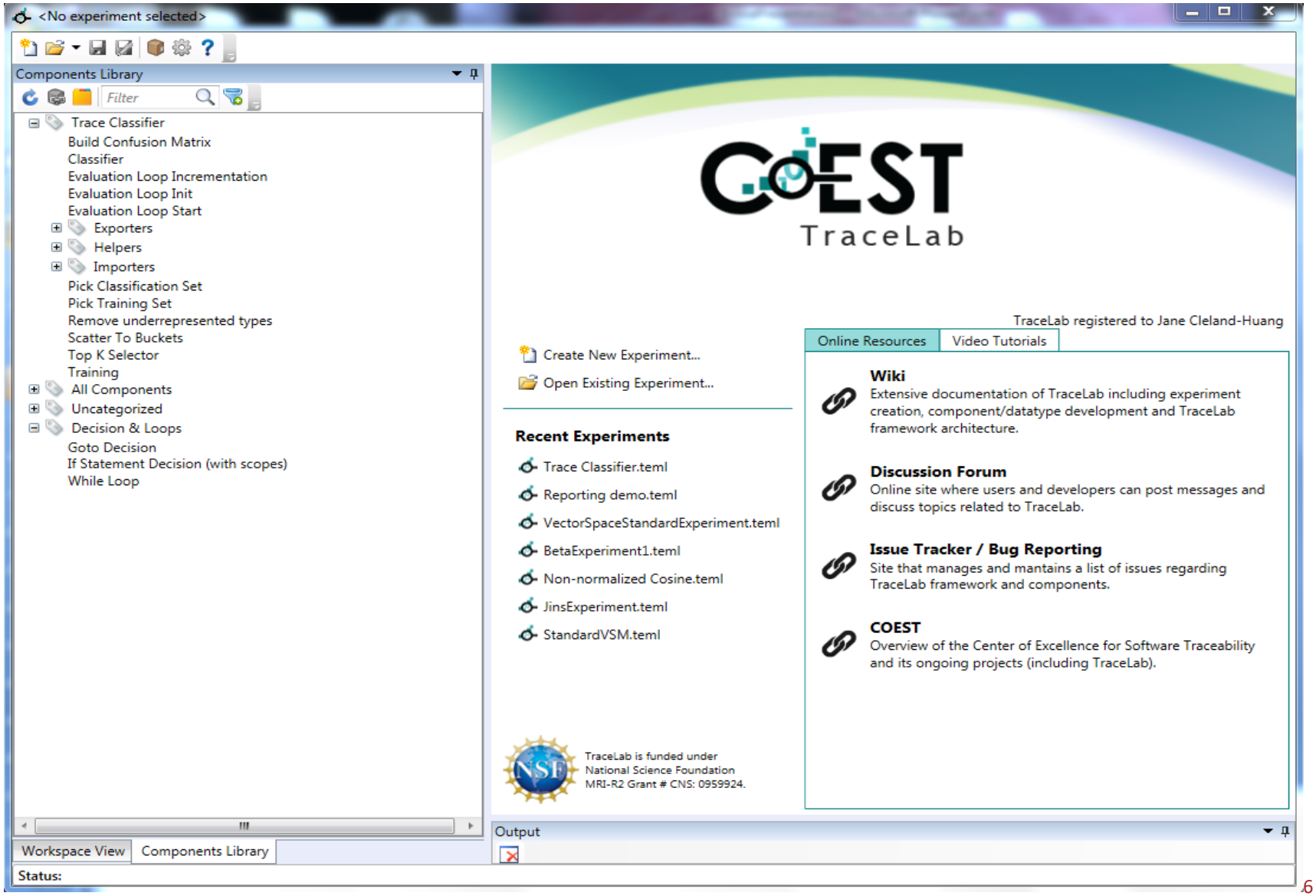
- In practice ASRs (especially NFRs) are often not elicited and are not clearly specified.
  - Many Software Requirements Specifications simply don't include NFRs.
  - Similarly, many agile projects fail to include ASR-related user stories.
- **Is there a better way**?
- In our TraceLab project we adopted a **persona-driven approach** which enabled us to **discover architecturally significant requirements** early in the project and to use our knowledge to make **informed decisions** about architectural design and implementation.

ASRs in TraceLab



- TraceLab is a US $2 Million Project funded by the National Science Foundation
- Developed by collaborators at DePaul University, College of William and Mary, Kent State Univ., and Univ. of Kentucky.
- Intended to **empower future traceability research** through facilitating innovation and creativity, increasing collaboration between traceability researchers, decreasing the startup costs and effort of new traceability research projects, and fostering technology transfer.
- Provides an environment in which researchers can **design and execute experiments**, **share components and datasets**, and **comparatively evaluate results** in a controlled setting.

ASRs in TraceLab



Competing Tradeoffs

We want to write components in C#.

We're programming this thing and we say that we should just program for Windows and everyone will have to use Windows.

I only know java. I'm not learning another language.

It's going to work on Mac, right?

It be fast as experiments write myself.

I don't want to do any programming.

I want to i my P

We should get this into the hands of our users early so we can get early feedback.

Our lab does everything on Linux.

I'm ther components ess I know they are going to work.

I just want it to install and run easily.

Can you offer it as a service?

No

I need to be able to write my own components.

I'm willing to share with others, but not until after I've published.

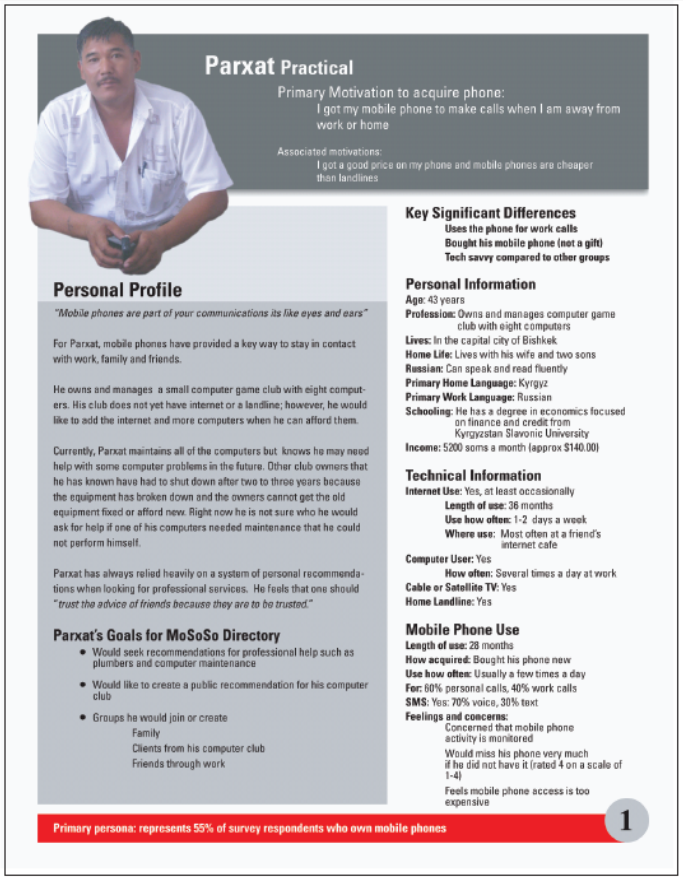
I have to run it on my desktop as I have proprietary data.

We need to cut across the chaos.

We only have 3 years to deliver everything!!

Traditional HCI Personas

We decided to represent the conflicting needs through developing a set of architecturally-savvy personas.



Parxat Practical

Primary Motivation to acquire phone:
I got my mobile phone to make calls when I am away from work or home

Associated motivations:
I got a good price on my phone and mobile phones are cheaper than landlines

Personal Profile

"Mobile phones are part of your communications its like eyes and ears"

For Parxat, mobile phones have provided a key way to stay in contact with work, family and friends.

He owns and manages a small computer game club with eight computers. His club does not yet have internet or a landline; however, he would like to add the internet and more computers when he can afford them.

Currently, Parxat maintains all of the computers but knows he may need help with some computer problems in the future. Other club owners that he has known have had to shut down after two to three years because the equipment has broken down and the owners cannot get the old equipment fixed or afford new. Right now he is not sure who he would ask for help if one of his computers needed maintenance that he could not perform himself.

Parxat has always relied heavily on a system of personal recommendations when looking for professional services. He feels that one should *"trust the advice of friends because they are to be trusted."*

Parxat's Goals for MoSoSo Directory

- Would seek recommendations for professional help such as plumbers and computer maintenance
- Would like to create a public recommendation for his computer club
- Groups he would join or create
  - Family
  - Clients from his computer club
  - Friends through work

Key Significant Differences

Uses the phone for work calls
Bought his mobile phone (not a gift)
Tech savvy compared to other groups

Personal Information

Age: 43 years
Profession: Owns and manages computer game club with eight computers
Lives: In the capital city of Bishkek
Home Life: Lives with his wife and two sons
Russian: Can speak and read fluently
Primary Home Language: Kyrgyz
Primary Work Language: Russian
Schooling: He has a degree in economics focused on finance and credit from Kyrgyzstan Slavonic University
Income: 5200 soms a month (approx $140.00)

Technical Information

Internet Use: Yes, at least occasionally
Length of use: 36 months
Use how often: 1-2 days a week
Where use: Most often at a friend's internet cafe
Computer User: Yes
How often: Several times a day at work
Cable or Satellite TV: Yes
Home Landline: Yes

Mobile Phone Use

Length of use: 28 months
How acquired: Bought his phone new
Use how often: Usually a few times a day
For: 60% personal calls, 40% work calls
SMS: Yes: 70% voice, 30% text
Feelings and concerns:
Concerned that mobile phone activity is monitored
Would miss his phone very much if he did not have it (rated 4 on a scale of 1-4)
Feels mobile phone access is too expensive

Primary persona: represents 55% of survey respondents who own mobile phones

1

Reused courtesy Cynthia Putnam

Traditionally persona construction involves surveying users, classifying them, formulating hypotheses of use, validating, creating scenarios, and finally designing personas.

Too time consuming for our project i.e. too much upfront effort that would retard the achievement of our goals.

Solution: **Persona sketches.**

Architecturally-Savvy Personas (Lite)

Persona picture, name tag, and role.

Personalized background details

Tom:
Age: 59, Professor

- ■ Fast trace retrieval:
- ■ Platform selection:
- ■ Language selection:
- ■ Reliability:
- □ Extensibility:
- ■ Ease of component upload
- ■ Ease of installation
- □ Highly intuitive interface
- □ Extensive document compatibility
- □ Data confidentiality
- □ Broad adoption

Tom is a long time traceability researcher. He has published numerous papers that have focused on tracing from source code to design and requirements. He has focused on using LDA, LSI, and various probabilistic approaches. He has also developed algorithms for visualizing the results of his traces.

Tom prefers coding in C++ on Linux. He plans to contribute components to the TRACY project; however he already has an established traceability research environment and therefore may not use all the TRACY features himself.

My user stories:

1. I need to be able to write components in C++ and integrate them easily into TraceLab experiments.
2. Experiments that I run using TraceLab must not take about the same amount of time to run as my existing experiments.
3. I need to be able to run TraceLab on Linux.
4. I need accessibility to benchmarks so I can compare new algorithms and techniques against previous results.
5. I need access to datasets with existing trace matrices.

My anti-stories:

1. I won't use TraceLab if it is buggy and keeps breaking.

List of quality concerns extracted from all personas.

Each concern is marked to show relevance to this persona.

Persona-related user stories i.e. win scenarios

Persona-related loss-scenarios

Jane Cleland-Huang, Adam Czauderna, Ed Keenan: A Persona-Based Approach for Exploring Architecturally Significant Requirements in Agile Projects. REFSQ 2013: 18-33

Meet Karly…

Karly
Age: 26, PhD Student

- ☐ Fast trace retrieval:
- ■ Platform selection:
- ■ Language selection:
- ☐ Reliability:
- ■ Extensibility:
- ☐ Ease of component upload
- ☐ Ease of installation
- ☐ Highly intuitive interface
- ☐ Extensive document compatibility
- ☐ Data confidentiality
  Broad adoption

Karly is a new PhD student. She is interested in tracing requirements to software architecture.

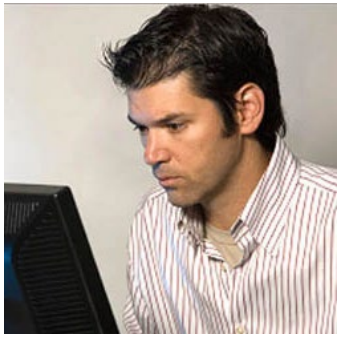
She has contacts with a local company who will allow her to access their data for her experiments; however this data is proprietary (i.e. protected by a NDA) and so she cannot share it with anyone else.

She predicts that it will take her about 6 months to set up her traceability environment, but then she discovers TRACY. Karly is quite a good programmer, but is much more interested in the process side of her research.

My user stories:

1. I need to be able to maintain confidentiality of my data.
2. I need to be able to create my own components and integrate them with existing experiments.
3. I need to be able to setup new benchmarks for comparative purposes.
4. I need to be able to program components in C#.

Meet Jack..



Jack, 34
Architect

- ☐ Fast trace retrieval:
- ■ Platform selection:
- ■ Language selection:
- ☐ Reliability:
- ☐ Extensibility:
- ☐ Ease of component upload
- ☐ Ease of installation
- ☐ Highly intuitive interface
- ☐ Extensive document compatibility
- ☐ Data confidentiality
  Broad adoption

Jack is married and has two young children. He has recently been hired by the TRACY project into the role of Software Architect/Developer. He has 6 years of experience as a software developer and 2 years as a lead architect in a successful gaming company. He has taken the job on the TRACY project because he is excited by the challenge of working in a research oriented project.

Jack is very motivated to build a high quality product. Jack has never worked in an academic research setting before. He is very collaborative and is looking forward to working with the other developers, academics, and students on the project.

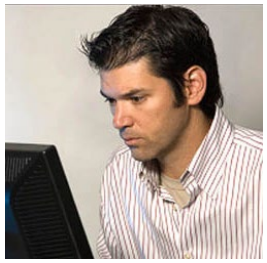
My user stories:

1. I need to develop the TraceLab framework in a language which supports rapid prototyping.
2. I need the framework language to easily interface with, and call, components written in other languages.
3. I need the platform to provide natural support for the separation of model and view components.
4. I need libraries to support GUI development.

Meet the full ensemble…

Tom

Karly



Jack

Glen
Age: 23
MS Student at Hillsbury College

Glen is an MS student who has been helping his advisor to build TraceLab components. He has never contributed to an open source project before, so he needs to figure out how to make contributions to TraceLab. Glen is very collaborative and is looking forward to working with the other researchers on the project.

Wayne
Age:46
Technical Project Mgr
ABC Corp

Wayne is the technical manager for a very large systems engineering project. He could be described as an early adopter, as he prides himself in keeping an eye out for good ideas that could help his organization. Wayne wants to improve the efficiency of traceability practices in his organization and is interested in using TraceLab.

Mary
Age: 51
NSF Program Officer

Mary is the funding officer for the grant. She is concerned that the project delivers on time and ultimately meets all major goals in terms of adoption, research advancements, and technology transfer.

Understand key concerns

Decision:	Platform/Language		Tom	Janet	Karly	Jack	Mary	Wayne
Pertinent user stories:	US 1.	The system must run on multiple platforms	●	●	●		●	
	US 2.	Users must be able to write and integrate components from multiple languages	●	●	●		●	
	US 3.	The source language of each component must be invisible at runtime				●		
	US 4.	The selected language/platform must support rapid framework prototyping				●		
	US 5.	The selected GUI must deliver 'razzle dazzle'		●		●		●
Architectural Decisions	AD 1.	Build framework using Visual Studio.net and C#.	½	✓	✓	✓	½	✓
	AD 2.	Develop the initial Windows-specific GUI in WPF.						
	AD 3.	Utilize MVVM (model view view model) architectural pattern, so that (a) the GUI View is loosely coupled and can be later implemented using GTK or Windows Forms and compiled for multiple platforms, and (b) the TraceLab engine can be compiled using Mono for porting to Linux and Mac environments.						

Process steps:

1. Analyze persona needs.
2. Identify primary drivers.
3. Extract all related user stories.
4. Assign to personas.
5. Brainstorm architectural design solutions and evaluate leading contenders.
6. Evaluate against personas.

Design solutions for key concerns

Decision:	Platform/Language		Tom	Janet	Karly	Jack	Mary	Wayne
Architectural Decisions	AD 1.	Build framework using Visual Studio.net and C#.	½	✓	✓	✓	½	✓
	AD 2.	Develop the initial Windows-specific GUI in WPF.						
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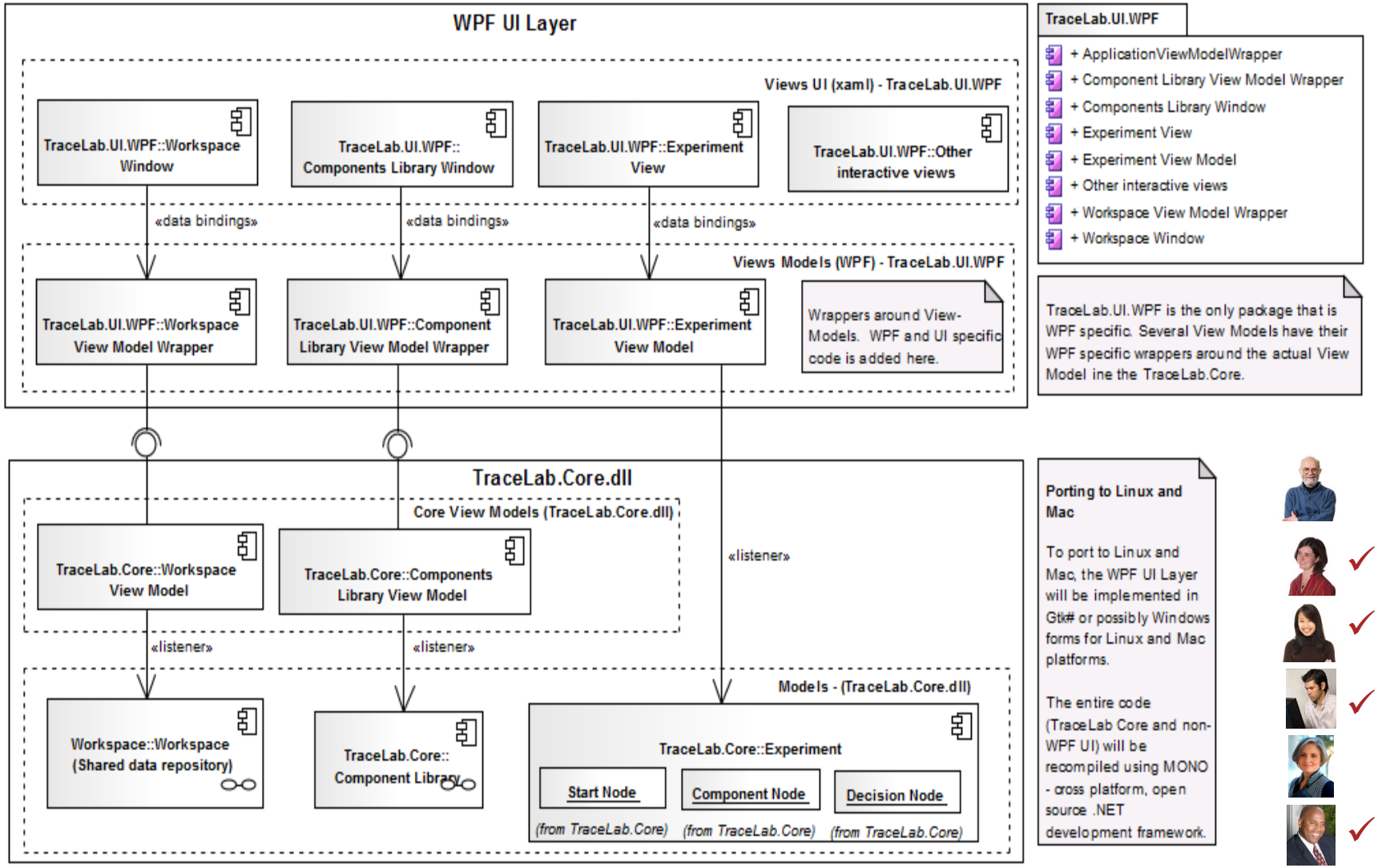
Risks	R 1.	The Mono library may not support latest features of C#. Better support for Linux than Mac.	Long running OS project. Initial tests showed adequate support. Mitigate risk through frequent Mono compiles throughout the project.					
	R 2.	Build first for Windows solution may lead to multiple GUIs to maintain in the long run.	Decision is deferred as to whether the WPF version will be maintained or discarded in favor of a multi-platform GUI over the long term.					
Personal Impacts	PI 1.	Tom & Mary's needs are partially met through this solution. In the long-term researchers will be able to use TraceLab in Linux, but early releases will run on Windows only.						
	PI 2.	All other personas impacted directly by platform/language decisions are positively impacted by this decision.						

Process steps:

7. Identify architectural risks associated with the proposed solution and their mitigations.
8. Consider and document impacts upon personas.

Architectural design



Supports build-now/port-later decision

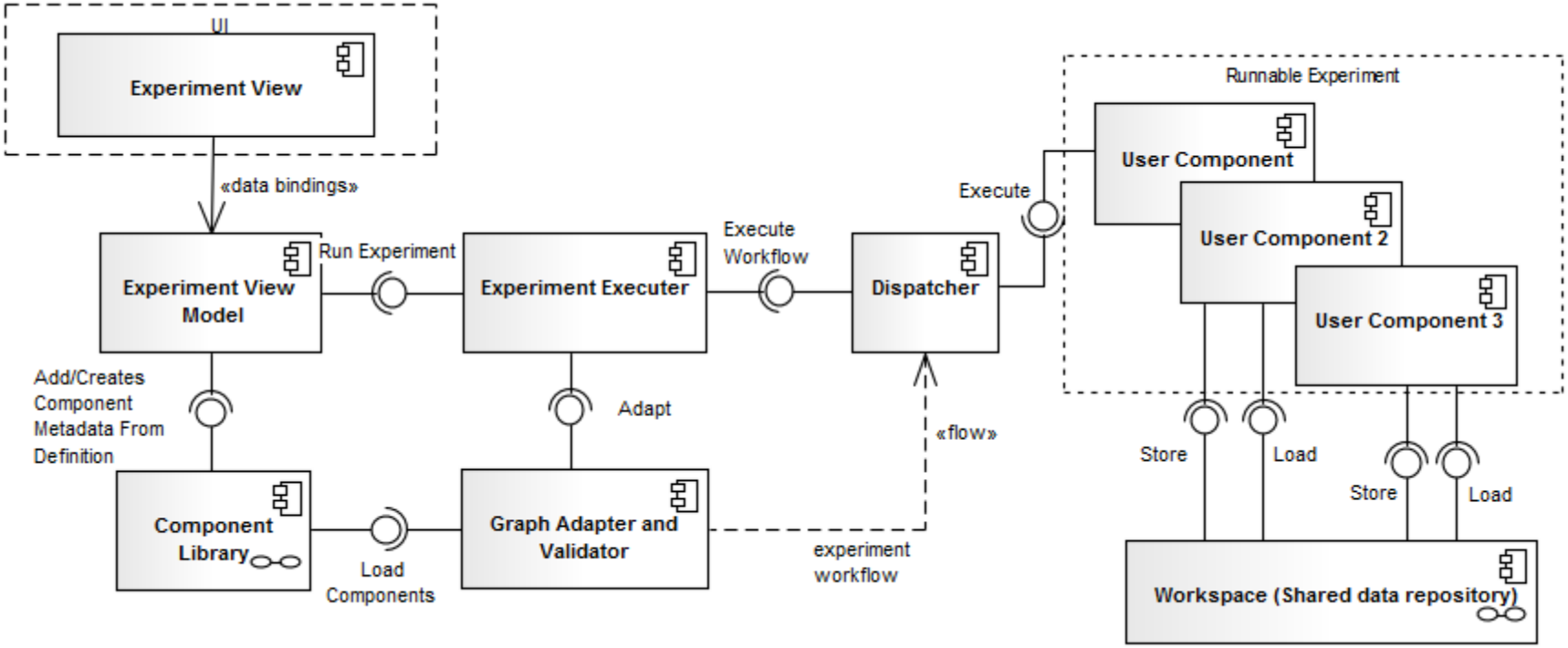
Decision 2: Workflow architecture

Decision:	Workflow Architecture		Tom	Janet	Karly	Jack	Mary	Wayne
Pertinent user stories:	US 1.	The TraceLab environment must support plug and play.	●	●	●			●
	US 2.	The performance penalty of using TraceLab must be low (i.e. close to runtime of non-TraceLab experiments).	●	●	●			●
	US 3.	Components should be reusable across research groups and experiments.	●				●	
Architectural Decisions	AD 1.	Utilize a blackboard architecture.	½	✓	✓			✓
	AD 2.	Create standard data types for exchanging data between components.						
	AD 3.	Construct the experiment around the concept of a workflow.						
	AD 4.	Support concurrent execution of components.						
	AD 5.	Trust the TraceLab users to create a viable workflow. Provide basic type checking only.						
Risks	R 1.	Performance may suffer as data is exchanged between components via shared memory.	Keep the data cache in the same App space as the experiment to avoid excessive data marshalling . Stream only critical data not entire data structure class.					
	R 2.	If TraceLab users proliferate the creation of data types, then plug-and-play ability will be lost.	Use community governance to increase the likelihood of shared use of data types.					
Personal Impacts	PI 1.	All personas are satisfied with the plug-and-play solution.						
	PI 2.	The performance penalty will be felt more by Tom, as he already has a functioning tracing environment. For other researchers the benefits of the plug-and-play environment and the use of previously defined tracing components far outweighs the slight performance penalty.						

Options

- Pipe-and-filter
- Services
- Precedence graph + Blackboard

Decision 2: Workflow architecture



Our approach is generalizable..

We created five Architecturally Savvy Personas for a Mechatronics Traceability project that we are working on with Siemens.

The personas highlighted different kinds of concerns from those highlighted by the TraceLab personas

Elaine, Age 50
Mechanical Engineer

- [x] Fast trace retrieval
- [x] Access control
- [ ] Extensibility to new case tools
- [ ] Interoperability of data formats
- [ ] Remote access
- [ ] Trace GUIs as plugins

Elaine is a mechanical engineer with over 20 years of experience working for Company X She is in charge of modeling the mechanisms for a railway gate. Her model needs to integrate with other models that describe the signaling process for the railway system. Elaine is aware that the crossing-gate must comply to a number of regulatory codes and she would like to be able to view the relevant codes from within her model. Elaine has access rights to update her model and to read requirements.

My user stories:

1. I need to be able to access all regulatory codes that impact the model I am currently working on.
2. I would like to control who views the models I am working on, and which version they view.
3. When I trace between my model and requirements, I need the traces to be returned within 30 seconds.
4. I need trace information to be displayed as an integral part of the model I am working in.

Stanley, Age 50
Compliance Officer

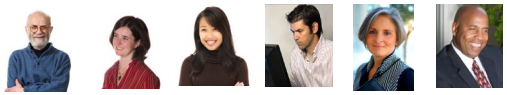
- [x] Fast trace retrieval
- [ ] Access control
- [x] Extensibility to new case tools
- [ ] Interoperability of data formats
- Remote access
- [x] Trace GUIs as plugins.

John is the compliance officer for company X. His job is to ensure that all regulatory codes are met by the delivered product and to generate reports to demonstrate this. He is a very detail-oriented person and takes great pride in his job. No products have ever been recalled under his watch for non-compliance purposes.

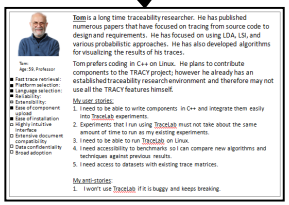
My user stories:

1. I need to be able to generate a report which shows a list of all elements in the design that help satisfy each relevant regulatory code. The report should generate within 2 minutes.
2. I need to view traces created in a wide variety of products.
3. I need to be able to generate and view traces for remote (i.e. globally distributed) models.

SCRUM+ ASPs



❶ **Identify preliminary personas**



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3. I need to be able to run TraceLab on Linux.
4. I need accessibility to benchmarks so I can compare new algorithms and techniques against previous results.
5. I need access to datasets with existing trace matrices.

My anti-stories:

1. I won't use TraceLab if it is buggy and keeps breaking.

Tom
Age: 56, Professor

- Test trace retrieval:
- Platform selection:
- Language selection:
- Reliability:
- Extensibility:
- Easy component upload
- Ease of installation
- Highly intuitive interface
- Easy to document
- Compatibility
- Data confidentiality
- Broad adoption

❷ **Elaborate individual personas and explore quality concerns**

❸ **Explore architectural decisions and trade-offs**

Decision:		Platform/Language	Tom	Janet	Karly	Jack	Mary	Wayne
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	US 2.	Users must be able to write and integrate components from multiple languages	•	•	•		•	
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	US 4.	The selected GUI must deliver 'razzle		•		•		•
Architectural Decisions	AD 1.	Build framework using Visual Studio.net and C#.	N	✓	✓	✓	N	✓
	AD 2.	Develop the initial Windows-specific GUI in WPF						
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Personal Impacts	PI 1.	Tom & Mary's needs are partially met through this solution. In the long-term researchers will be able to use TraceLab in Linux, but early releases will run on						
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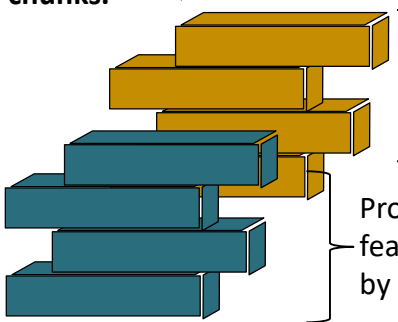
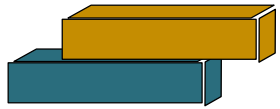
❹ **Break architecture into sprint-sized chunks.**

❺ **Select features plus their associated architectural components for the Sprint backlog.**

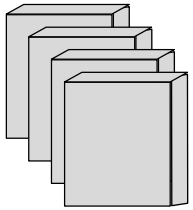


Sprint-sized architectural chunks associated with specific features.

Product backlog of features as prioritized by customer



Backlog tasks expanded by team

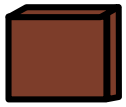


Daily scrum meeting

24 hours

30 days

Construct software, including architecture, incrementally.



Deliver potentially shippable product.

❻ **Evaluate solution with respect to persona's goals.**

❼ **Update personas**

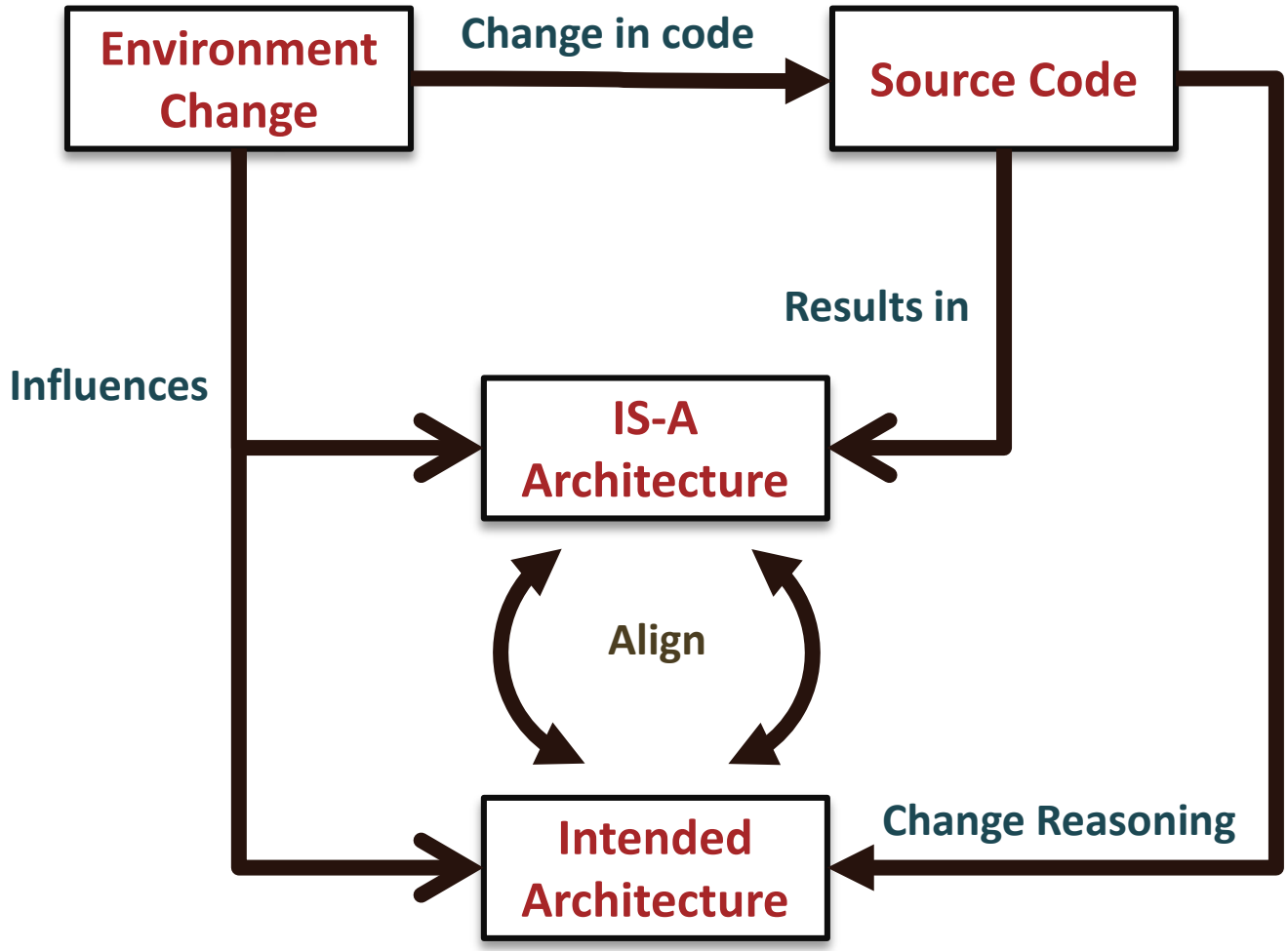
So what did we learn?

- Emerging and analyzing quality concerns early allowed us to make more informed architectural decisions.
- Sketching out architecturally savvy personas (ASPs) enables us to think about quality concerns in a more tangible way.
- Our approach fits naturally into the SCRUM-like process we had adopted for the project.
- A light-weight approach for integrating NFR-thinking into a fast-paced, agile, development environment.

Talk Outline

- Architecturally Significant Requirements and their impact on architectural design.
  - Focus on agile projects
  - Examples from TraceLab project
- Establishing and utilizing traceability links between quality concerns and code
  - Patterns of traceability
  - Archie tool
- Recovering architectural knowledge
  - Machine learning techniques

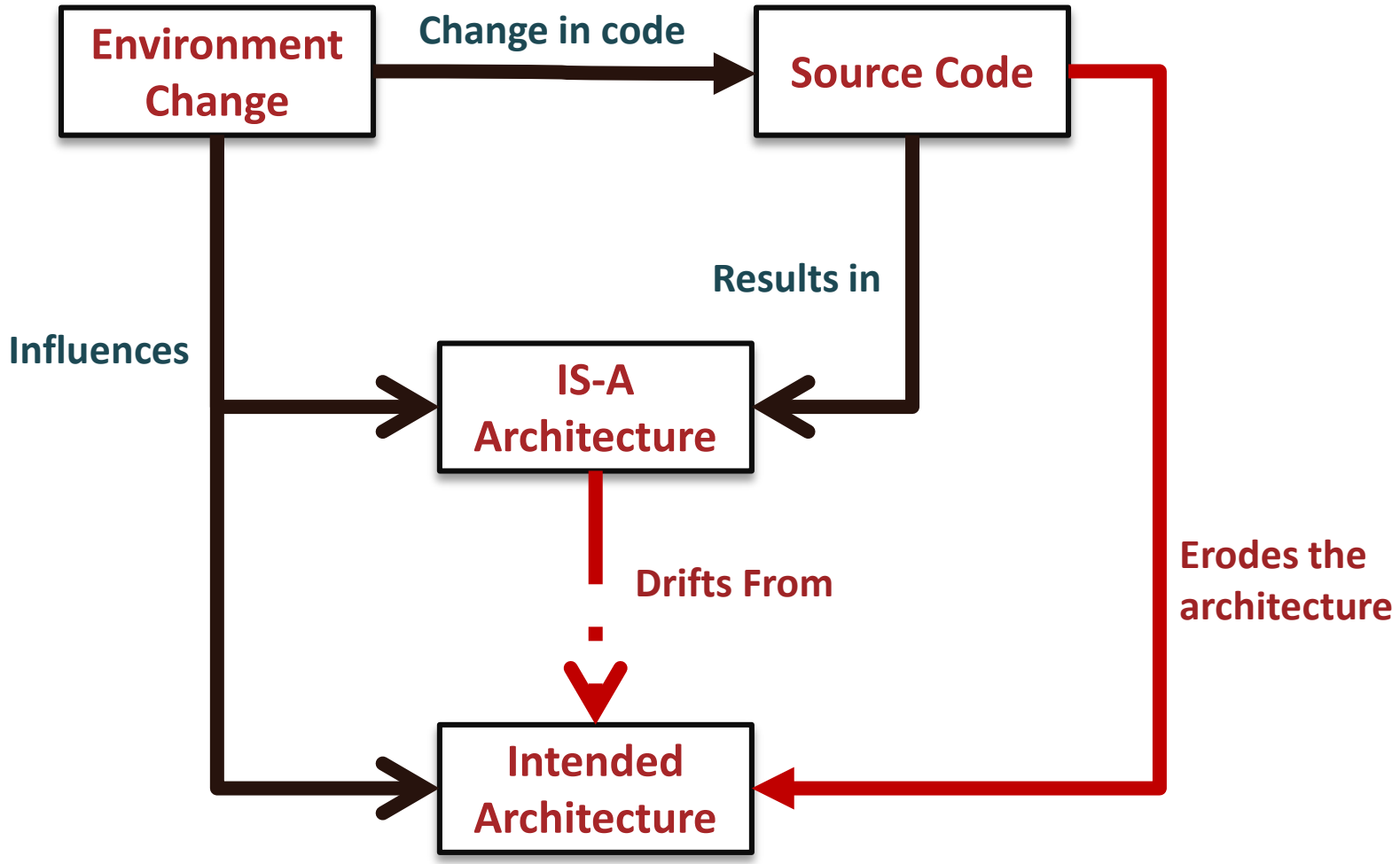
Change Cycle: Ideal World



Ideal World: Architectural information is documented during the Architectural design phase and is updated regularly to reflect the current system architecture.

Slide used courtesy of Mehdi Mirakhorli

Change Cycle: Real World



Real World: Architectural information is outdated and does not reflect the current architecture of the system.

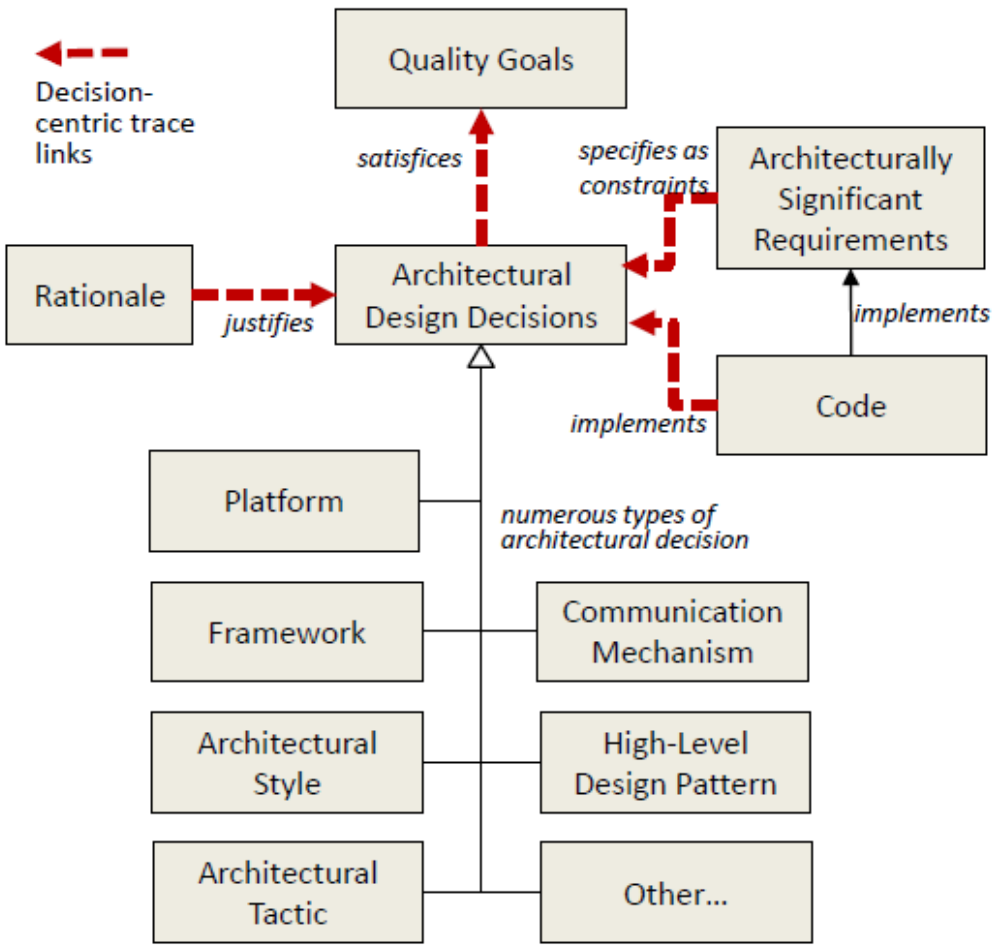
Slide used courtesy of Mehdi Mirakhorli

Architectural Degradation

1. Intended and implemented architecture diverge.
2. Architecture violations (i.e. strict layering bypassed, or pipe-and-filter pipeline violated); cyclic dependencies; dead code; code clones; metric outliers etc.

System becomes brittle starts to erode.

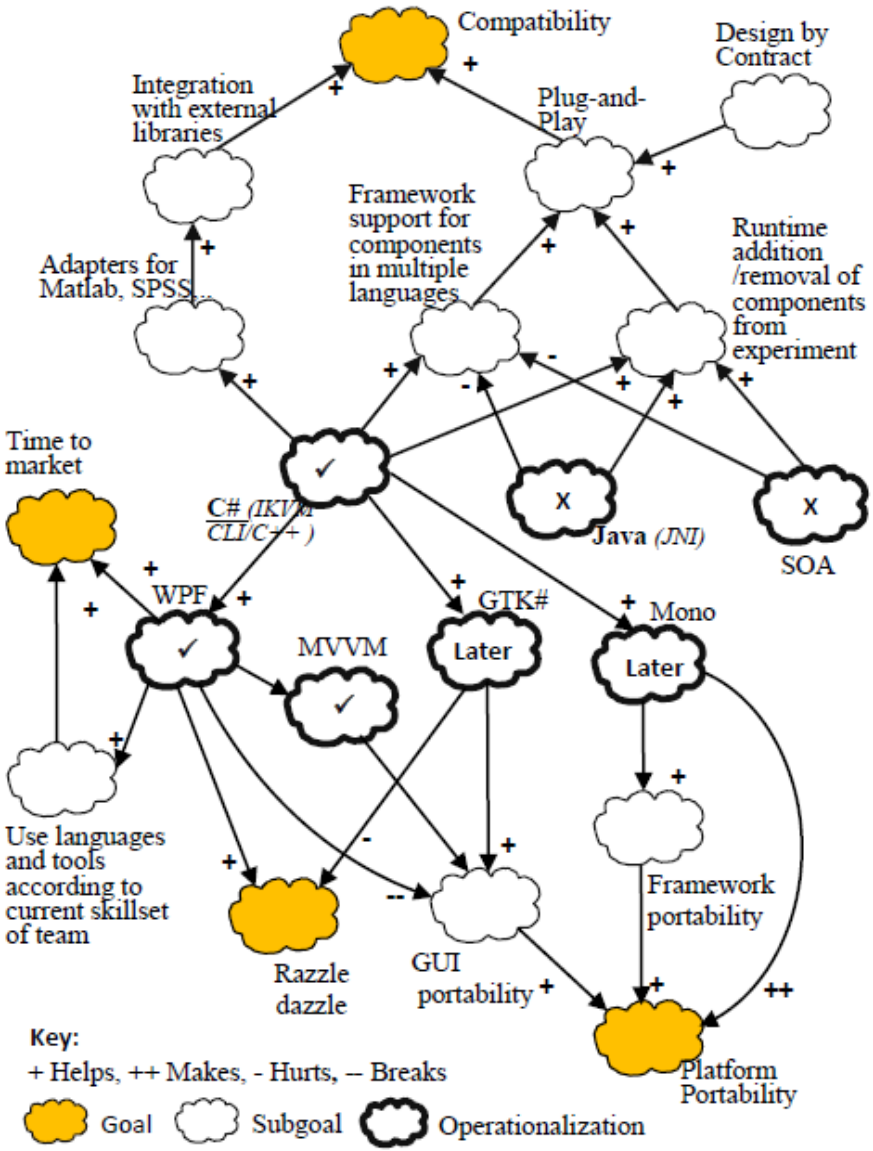
Tracing Concerns to Code



Requirements traceability is the ability to describe and **follow the life of a requirement**, in both a forward and backward direction, i.e. from its origins, through its development and specification, to its subsequent deployment and use, and through periods of ongoing refinement and iteration in any of these phases."

Gotel and Finkelstein,1994.

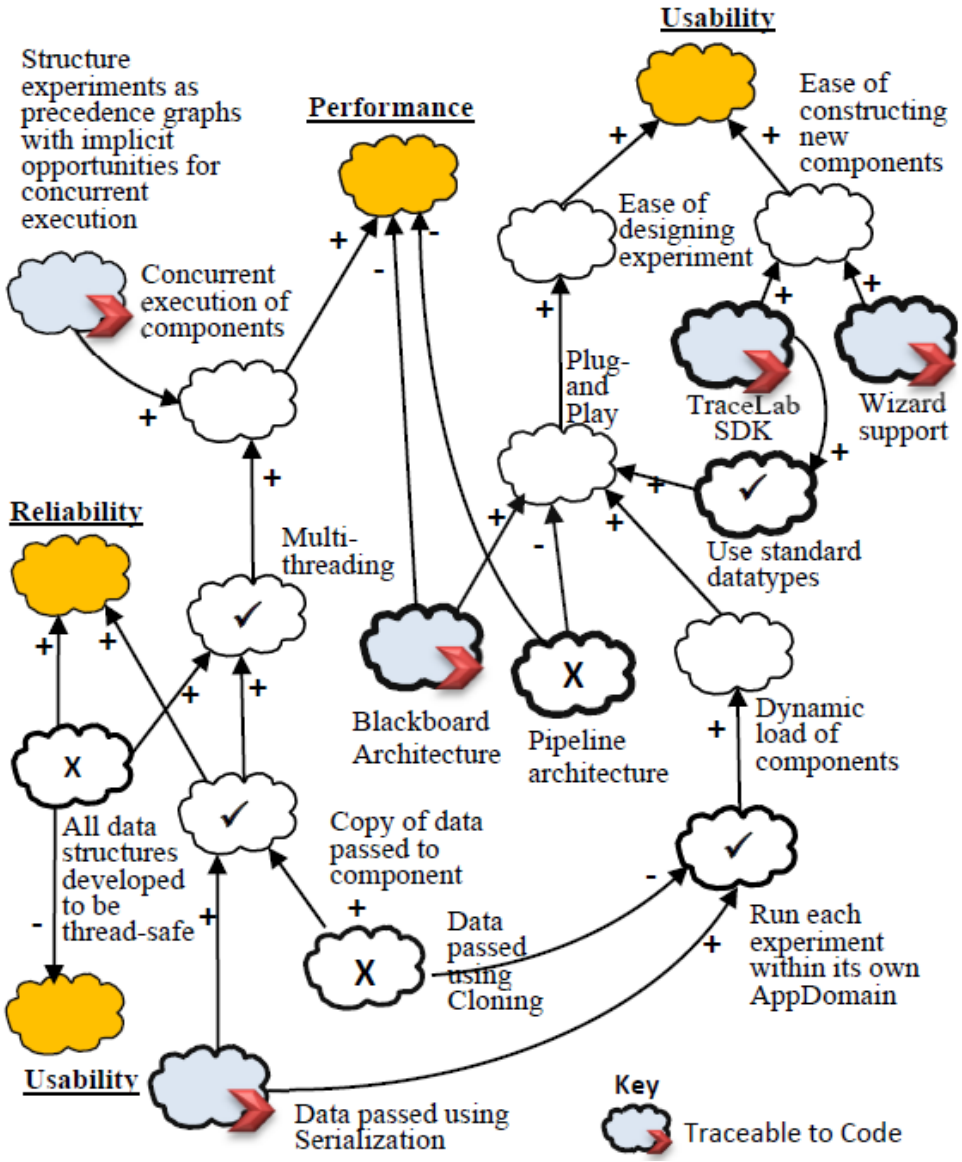
Tracing Concerns to Code



We can use the Softgoal Interdependency Graph (SIG) notation to capture the goal refinements that lead to our architectural decisions.

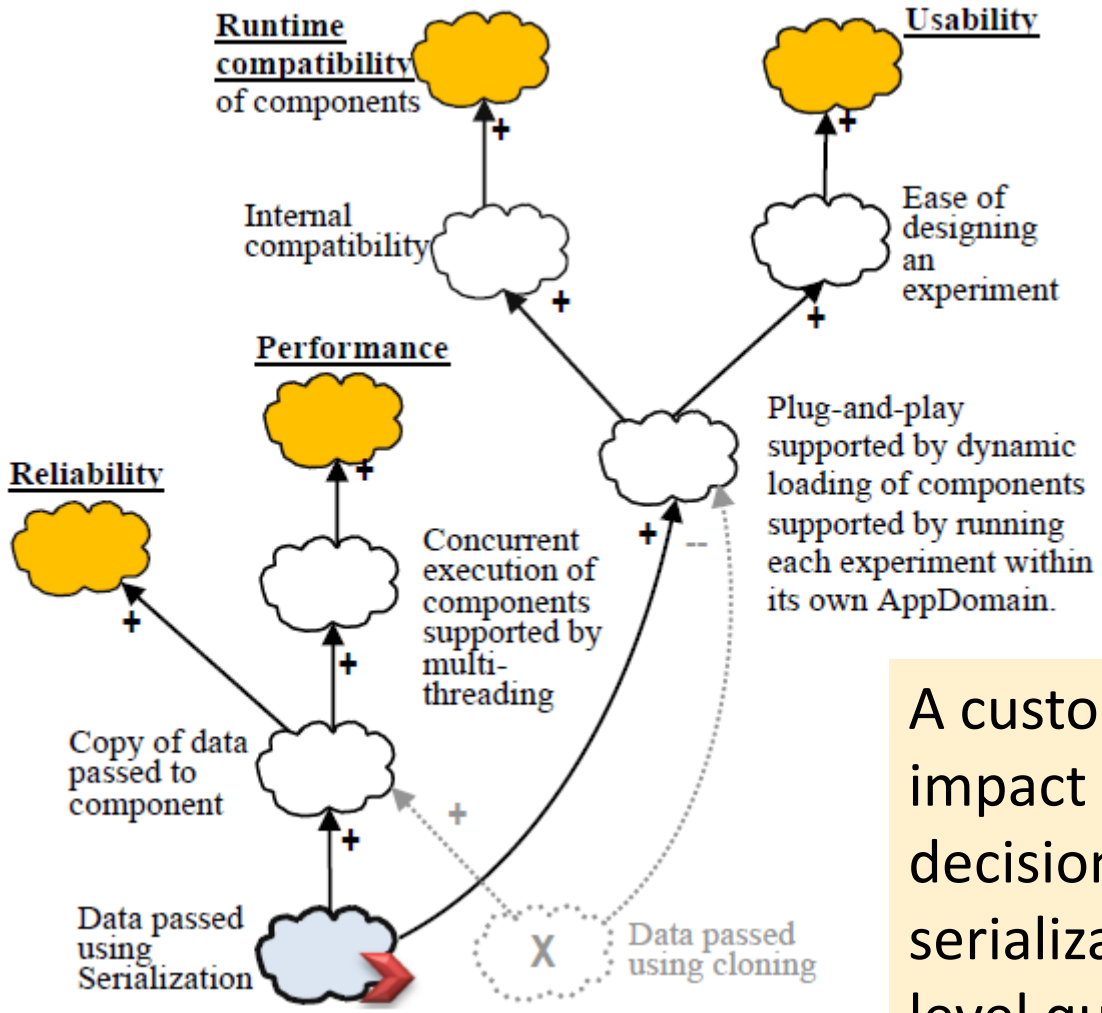
Decision-Centric Traceability of Architectural Concerns , Jane Cleland-Huang, Mehdi Mirakhorli, Adam Czauderna, and Mateusz Wieloch , Traceability in Emerging Forms of Software Engineering, May 2013.

Tracing Concerns to Code



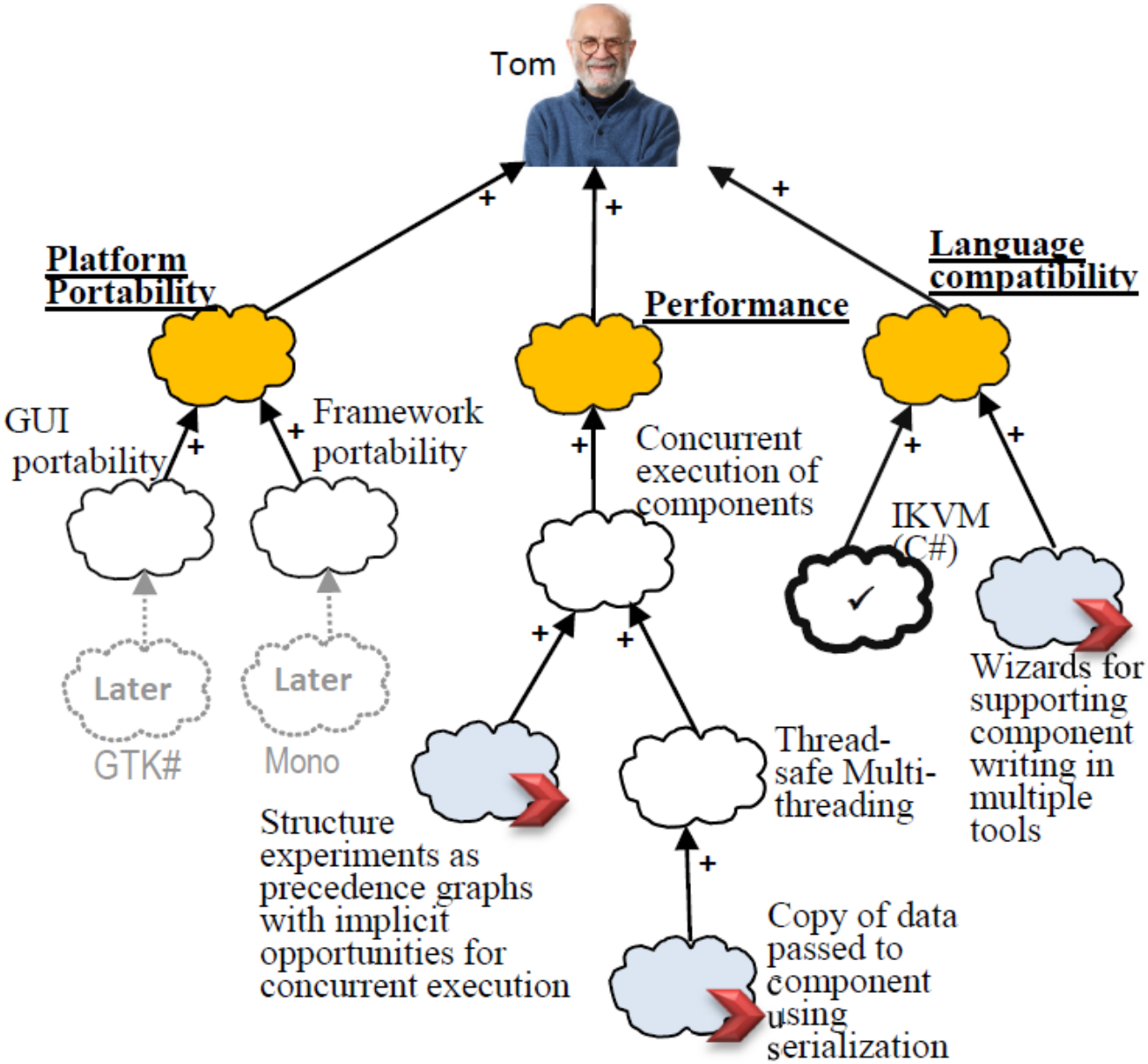
Only certain kinds of architectural decisions are traceable to code.

Customized Views



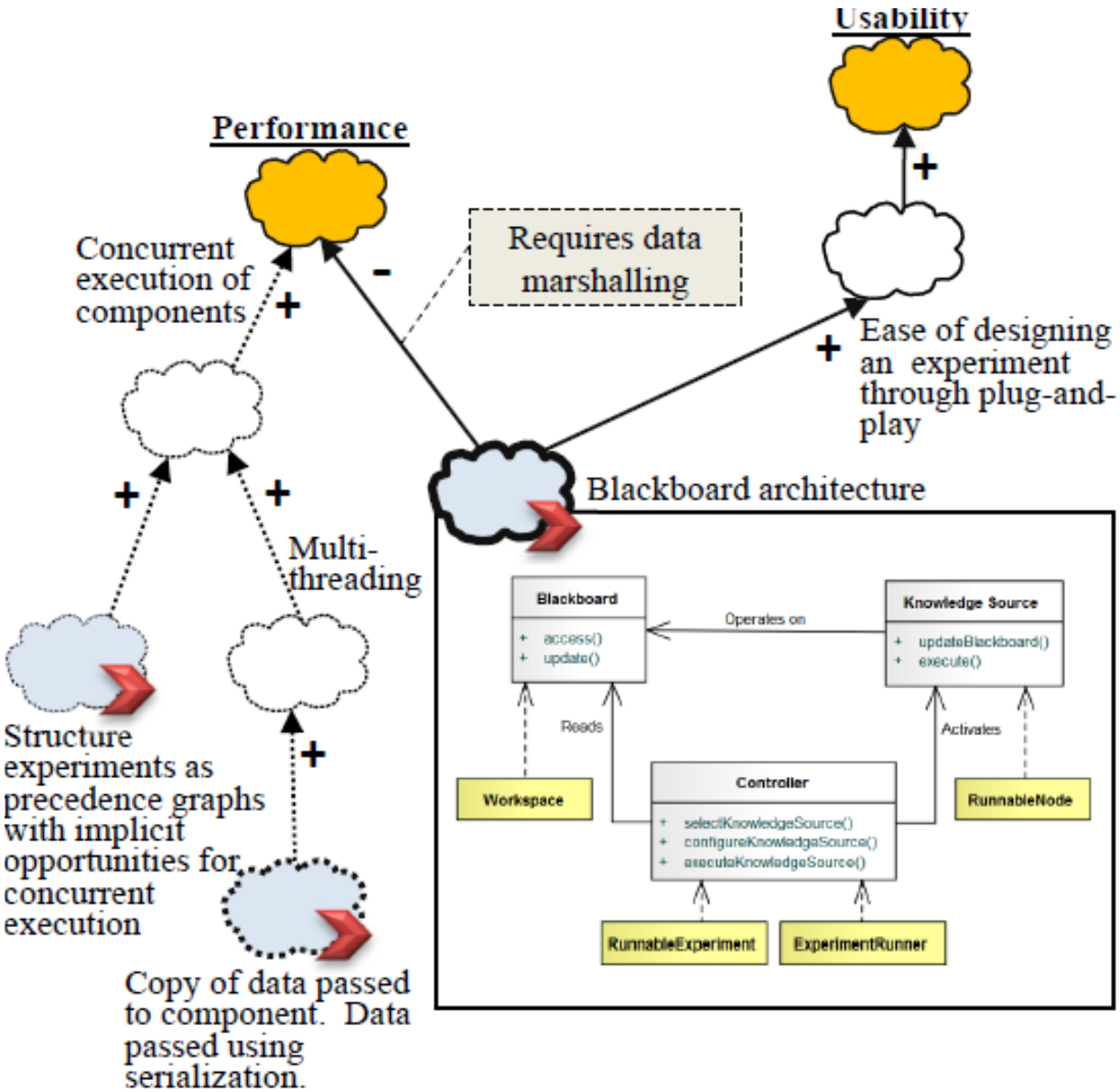
A custom view shows the impact of the architectural decision to pass data using serialization, on higher level quality concerns.

Customized Views



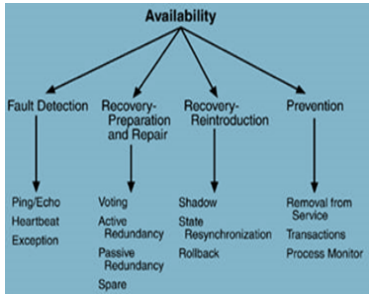
A persona / user perspective upon architectural decisions.

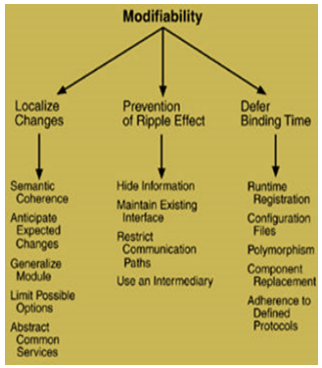
Some decisions occur across multiple projects

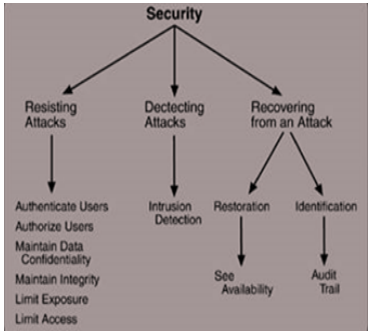


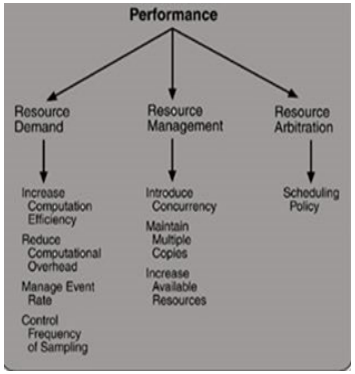
Can we find better ways to trace quality concerns to code when common architectural decisions are made?

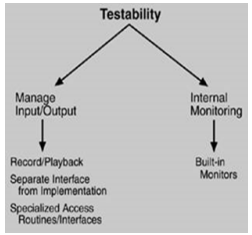
Some decisions recur across projects











Due to complexity of the problem, we tackled tactics first.

- Tactics are pervasive in fault-tolerant and/or high-performance systems.
- Tactics seem to have an interesting relationship to change.

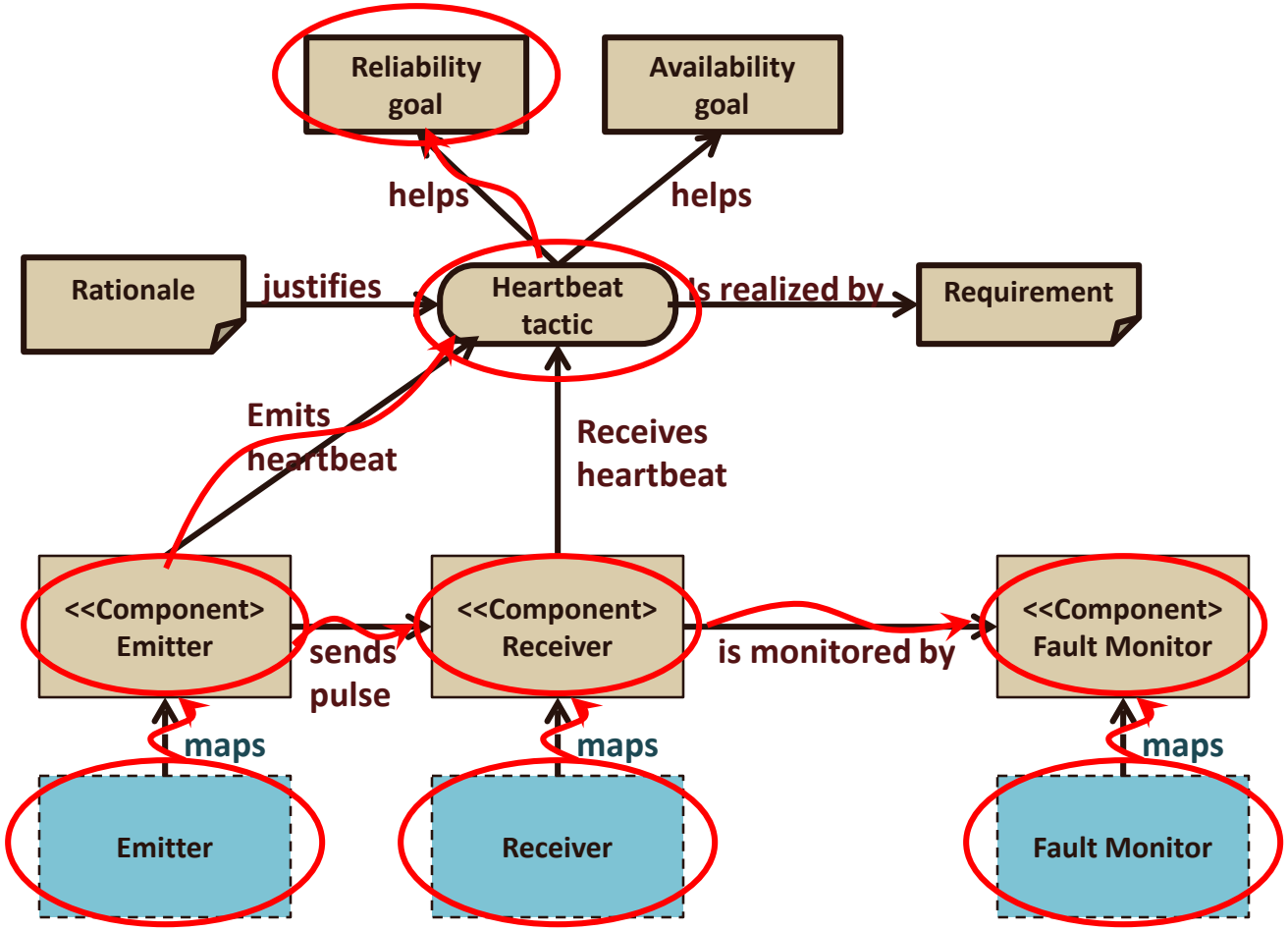
Tactic Occurrence Across Projects

	Fault tolerant, performance-centric software systems from SourceForge	Heartbeat	Scheduling	Authentication	Audit Trail	Resource Pool.	Active Repl.	Recovery	Passive Repl.	Authorization	Permiss. Check	CRC	Encryption	Process Monitor	Rem. Service	Fault Detection	Voting
1	RIFE: a Web application engine with support for content management.		•	•		•				•			•			•	
2	Fault-Tolerant Corba: (OMG Document ptc/2000-04-04)	•	•		•	•	•	•	•					•	•	•	•
3	CARMEN: Robot Control Software, with navigation capabilities	•										•	•				
4	Rossume: an open-source robot simulator for control and navigation.	•	•			•									•	•	
5	jworkosgi: implementation of the JMX and JMX Remote API into OSGI bundles.	•	•	•		•				•	•	•	•	•			
6	SmartFrog: Distributed Application Development Framework	•	•	•	•	•		•		•	•					•	
7	CarDamom: Real-time, distributed and fault-tolerant middleware		•	•	•	•	•	•	•					•	•	•	•
8	ACLAnalyser: Tool suit to validate, verify and debug Multi Agent Systems	•	•	•	•						•					•	
9	Jfolder: Web-based application development and management tool.	•		•	•					•							
10	Enhydra shark: XPDL and BPMN Workflow Server		•	•	•	•				•	•			•			
11	Chat3: An instant messenger.	•		•									•				
12	ACE+TAO+CIAO: Framework for high-performance, distributed, real-time systems.	•	•			•	•		•			•	•			•	•
13	Google Chromium OS:	•	•	•	•	•				•	•		•			•	
14	x4technology tools: Framework Enterprise application software.			•	•	•				•		•					
15	OpenAccountingJ: web-based Accounting/ERP system.			•	•						•						
16	Airbus Family: Flight Control System*.	•	•			•	•	•			•	•			•		•
17	Boeing 777: Primary Flight Control (PFC)*.	•	•			•	•	•				•			•		•
18	NASA CEV: Crew Exploration Vehicle using guidance-navigation* & control model.	•					•	•	•			•		•	•	•	•
19	Hadoop Framework: a development framework to support cloud computing.	•	•	•	•	•				•	•	•	•			•	
20	OfBiz: an enterprise automation and E-Commerce software.		•	•	•	•				•	•		•				

Courtesy Mehdi Mirakhorli

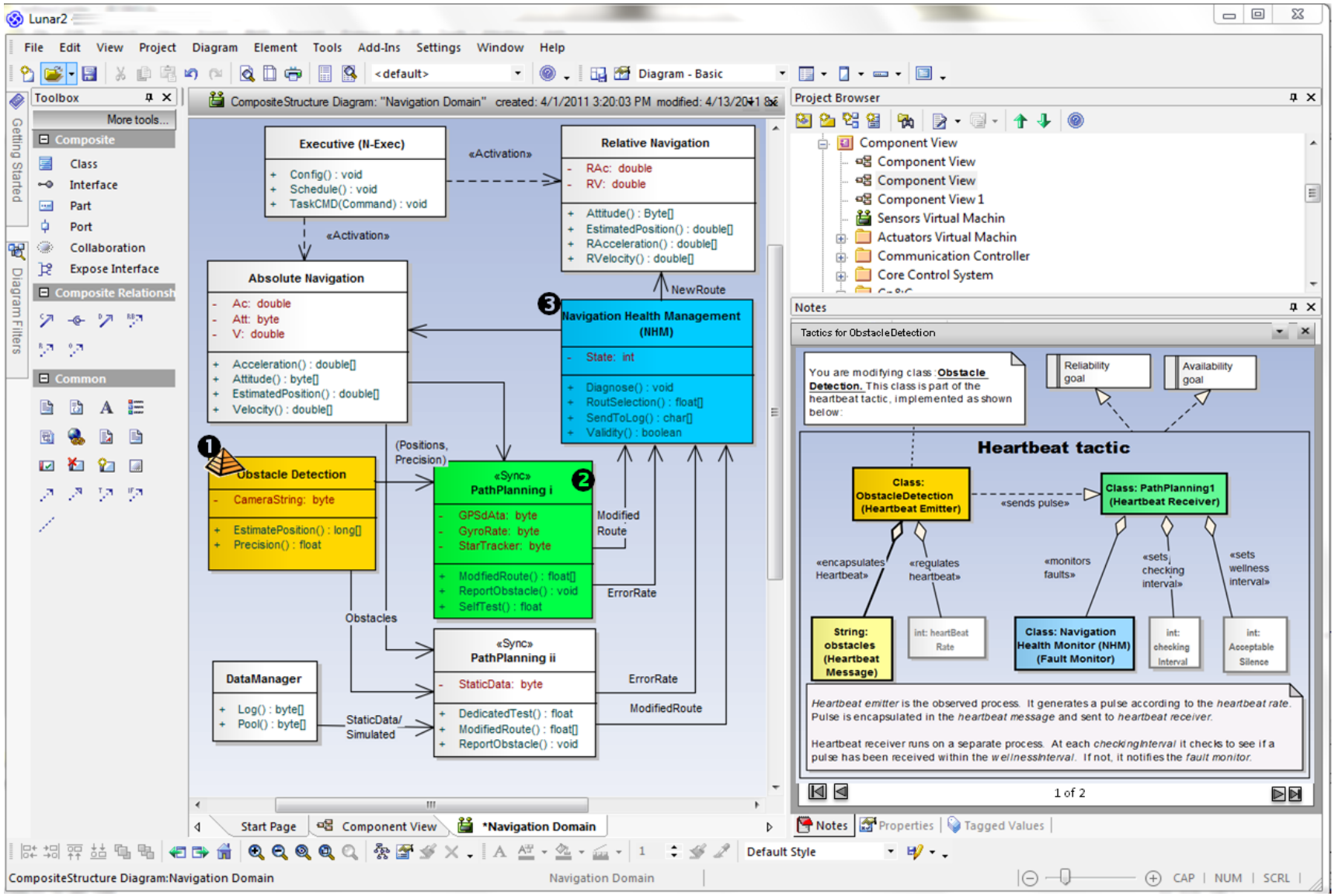
Tactics tend to be found in safety-critical, and/or other kinds of performance-centric systems.

Tactic Traceability Patterns



- Mehdi Mirakhorli and Jane Cleland-Huang, *Using Tactic Traceability Information Models to Reduce the Risk of Architectural Degradation during System Maintenance,* International Conference on Software Maintenance, Williamsburg, USA, September, 2011
- Mehdi Mirakhorli and Jane Cleland-Huang, "A Pattern System for Tracing Architectural Concerns", *Pattern Languages of Programming*, Portland, USA, October, 2011

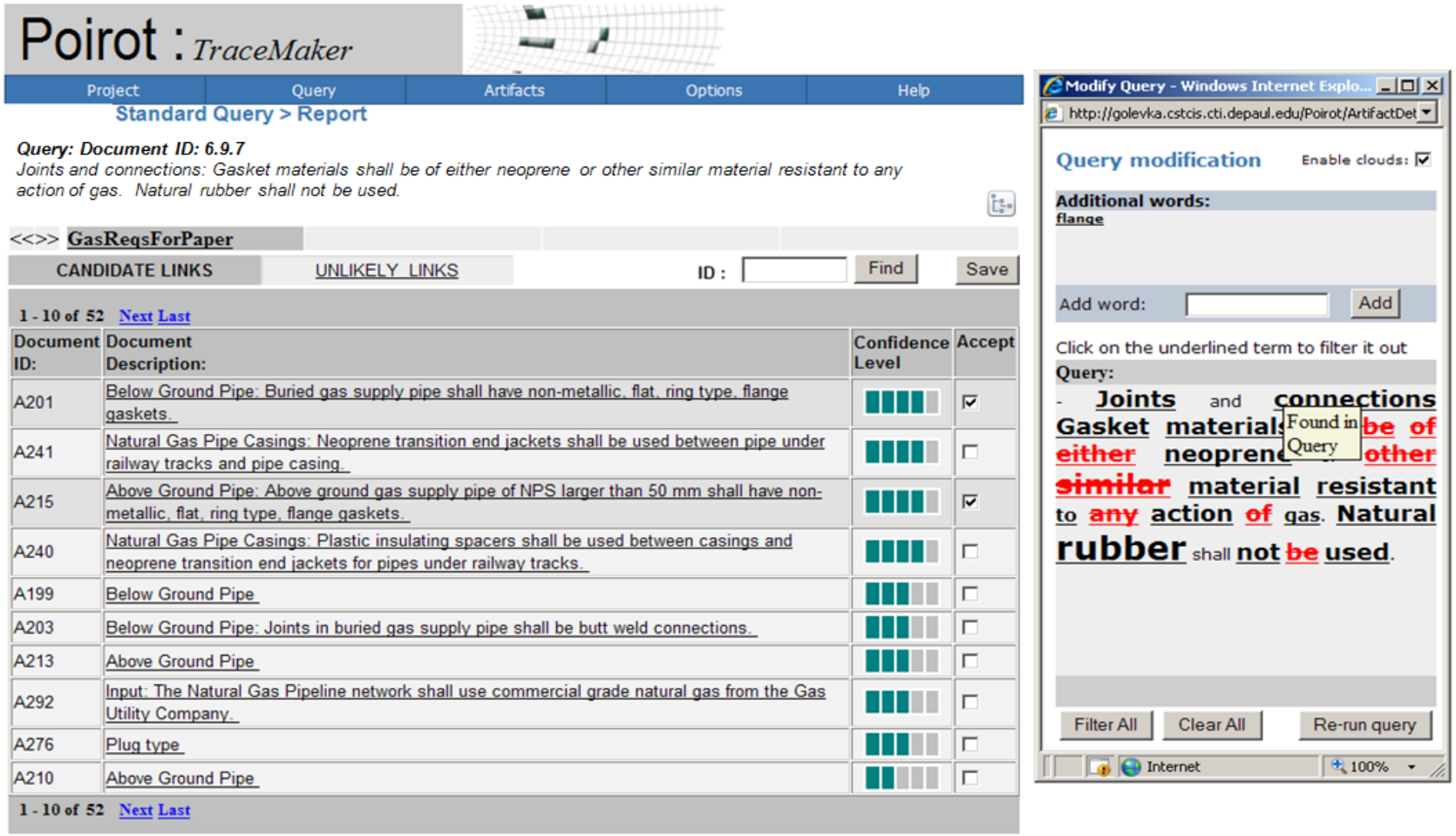
Archie…


Lunar2
File Edit View Project Diagram Element Tools Add-Ins Settings Window Help
Toolbox
Composite
Class
Interface
Part
Port
Collaboration
Expose Interface
Common
CompositeStructure Diagram: "Navigation Domain" created: 4/1/2011 3:20:03 PM modified: 4/13/2011 8:
Executive (N-Exec)
+ Config() : void
+ Schedule() : void
+ TaskCMD(Command) : void
«Activation»
Relative Navigation
- RAc: double
- RV: double
+ Attitude() : Byte[]
+ EstimatedPosition() : double[]
+ RAcceleration() : double[]
+ RVelocity() : double[]
Absolute Navigation
- Ac: double
- Att: byte
- V: double
+ Acceleration() : double[]
+ Attitude() : byte[]
+ EstimatedPosition() : double[]
+ Velocity() : double[]
NewRoute
Navigation Health Management (NHM)
- State: int
+ Diagnose() : void
+ RoutSelection() : float[]
+ SendToLog() : char[]
+ Validity() : boolean
Obstacle Detection
- CameraString: byte
+ EstimatePosition() : long[]
+ Precision() : float
(Positions, Precision)
«Sync» PathPlanning i
- GPSdAta: byte
- GyroRate: byte
- StarTracker: byte
+ ModfiedRoute() : float[]
+ ReportObstacle() : void
+ SelfTest() : float
Modified Route
ErrorRate
Obstacles
«Sync» PathPlanning ii
- StaticData: byte
+ DedicatedTest() : float
+ ModifiedRoute() : float[]
+ ReportObstacle() : void
DataManager
+ Log() : byte[]
+ Pool() : byte[]
StaticData/ Simulated
ModifiedRoute
Start Page
Component View
*Navigation Domain
Project Browser
Component View
Component View
Component View
Component View 1
Sensors Virtual Machin
Actuators Virtual Machin
Communication Controller
Core Control System
Notes
Tactics for ObstacleDetection
You are modifying class Obstacle Detection. This class is part of the heartbeat tactic, implemented as shown below:
Reliability goal
Availability goal
Heartbeat tactic
Class: ObstacleDetection (Heartbeat Emitter)
«sends pulse»
Class: PathPlanning1 (Heartbeat Receiver)
«encapsulates Heartbeat»
«regulates heartbeat»
«monitors faults»
«sets checking interval»
«sets wellness interval»
String: obstacles (Heartbeat Message)
int: heartBeat Rate
Class: Navigation Health Monitor (NHM) (Fault Monitor)
int: checking Interval
int: Acceptable Silence
Heartbeat emitter is the observed process. It generates a pulse according to the heartbeat rate. Pulse is encapsulated in the heartbeat message and sent to heartbeat receiver.
Heartbeat receiver runs on a separate process. At each checkingInterval it checks to see if a pulse has been received within the wellnessInterval. If not, it notifies the fault monitor.
1 of 2
Notes
Properties
Tagged Values
Default Style
CompositeStructure Diagram:Navigation Domain
Navigation Domain
CAP NUM SCRL

Talk Outline

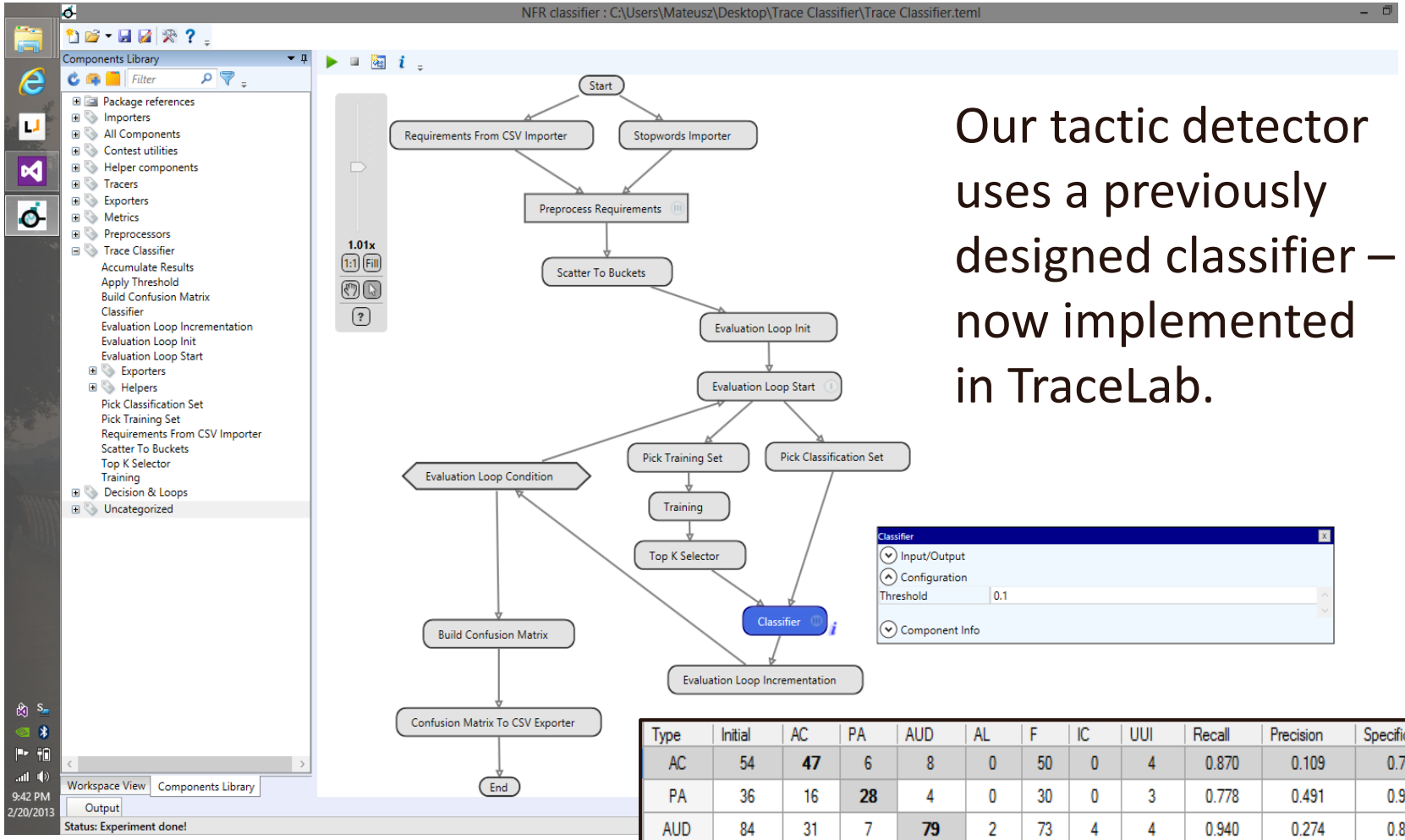
- Architecturally Significant Requirements and their impact on architectural design.
  - Focus on agile projects
  - Examples from TraceLab project
- Establishing and utilizing traceability links between quality concerns and code
  - Patterns of traceability
  - Archie tool
- Recovering architectural knowledge
  - Machine learning techniques

Trace Retrieval



In contrast, architectural concerns are often NOT unique in individual systems – so we can train our traceability engine to recognize them across projects.

Tactic Detector



Our tactic detector uses a previously designed classifier – now implemented in TraceLab.

Type	Initial	AC	PA	AUD	AL	F	IC	UUI	Recall	Precision	Specificity	F2-Measure
AC	54	**47**	6	8	0	50	0	4	0.870	0.109	0.788	0.362
PA	36	16	**28**	4	0	30	0	3	0.778	0.491	0.984	0.697
AUD	84	31	7	**79**	2	73	4	4	0.940	0.274	0.883	0.633
AL	10	5	1	2	**7**	7	0	1	0.700	0.583	0.997	0.673
F	1660	328	13	187	3	**1485**	51	67	0.895	0.891	0.142	0.894
IC	17	2	1	5	0	13	**3**	4	0.176	0.052	0.970	0.119
UUI	10	4	1	3	0	8	0	**7**	0.700	0.078	0.955	0.269

Classification Approach

①

Normalizes the frequency with which term t occurs in the requirement with respect to the length of the requirement.

Computes the percentage of documents of type Q containing term t

Decreases the weight of terms that are project specific.

$$Pr_Q(t) = \frac{1}{N_Q} \sum_{r_Q \in S_Q} \frac{freq(r_Q,t)}{|r_Q|} \cdot \frac{N_Q(t)}{N(t)} \cdot \frac{NP_Q(t)}{NP_Q}$$

②

$$Pr_Q(r) = \frac{\sum_{t \in r \cap I_Q} Pr_Q(r)}{\sum_{t \in I_Q} Pr_Q(t)}$$

Computes the likelihood that requirement r traces to Query q.

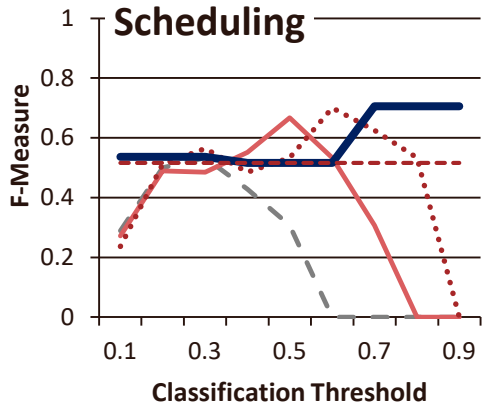
J. Cleland-Huang, R. Settimi, X.Zou, P. Solc, “Automated Detection and Classification of Quality Requirements”, Requirements Engineering Journal, Springer-Verlag, August, 2006. pp. 36-45

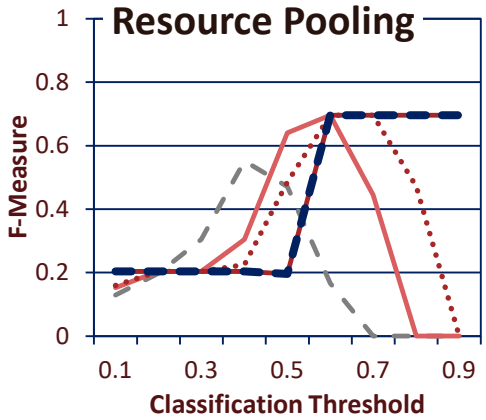
Towards Automation

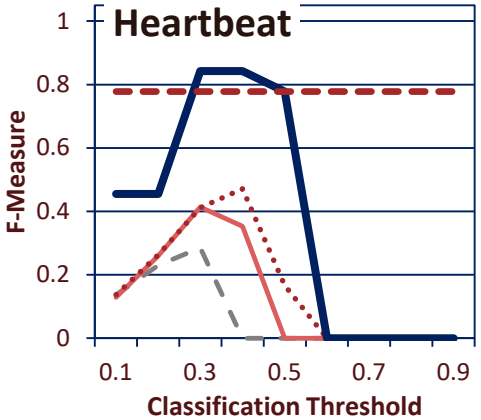
Tactic Name	Document trained indicator terms	Code trained indicator terms
Heartbeat	heartbeat, fault, detect, messag, period, watchdog, send, tactic, failur, aliv	heartbeat, ping, beat, heart, hb, outbound, puls, hsr, period, isonlin
Scheduling	prioriti, schedul, assign, process, time, queue, robin higher, weight, dispatch	schedul, task, prioriti, prcb, sched, thread, , rtp, weight, tsi
Authentication	authent, password, kerbero, sasl, ident, biometr, verifi, prove, ticket, purport	authent, credenti, challeng, kerbero, auth, login, otp, cred, share, sasl
Resource Pooling	thread, pool, number, worker, task, queue, executor, creat, overhead, min	pool, thread, connect, sparrow, nbp, processor, worker, timewait, jdbc, ti
Audit Trail	audit, trail, record, activ, log, databas, access, action, monitor, user	audit, trail, wizard, pwriter, lthread, log, string, categori, pstmt, pmr

Tactic-Grained Classification

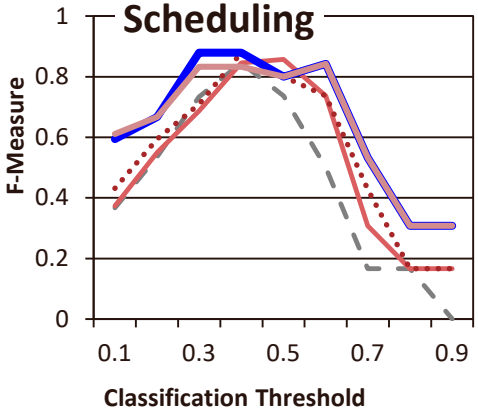
Description-trained

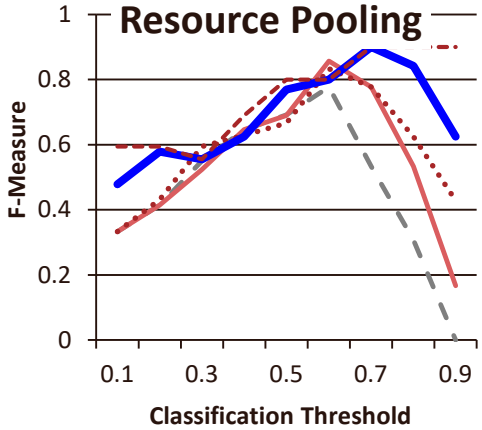


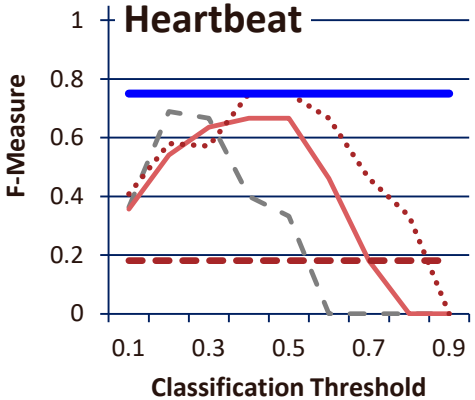




Code-trained





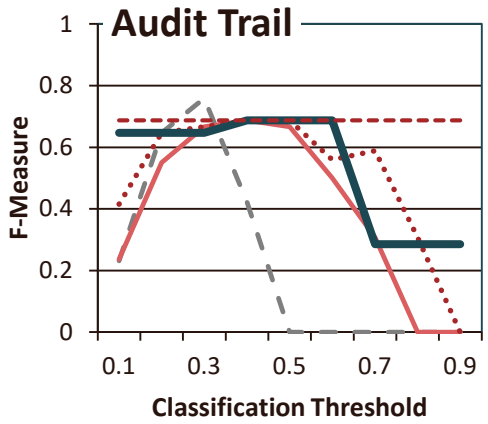


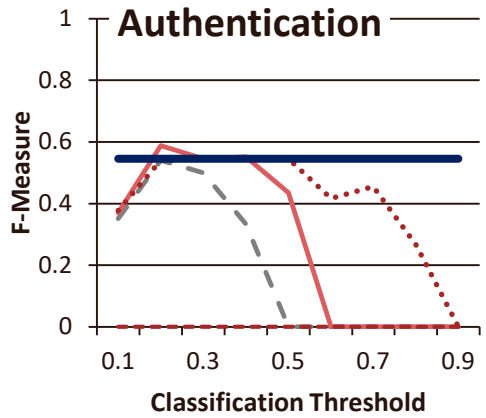
Legend

- 0.001 term threshold
- 0.005 term threshold
- 0.01 term threshold
- 0.05 term threshold
- 0.1 term threshold

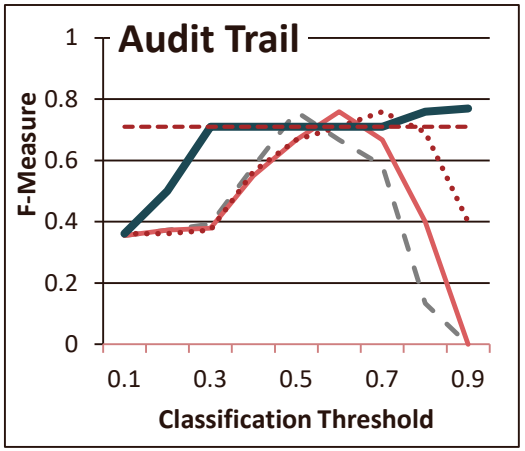
Tactic-trained Classification / Code Trained

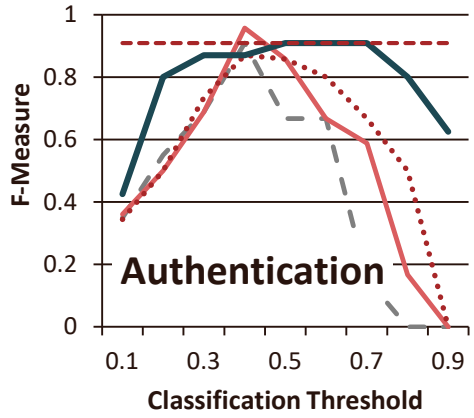
Description-trained





Code-trained



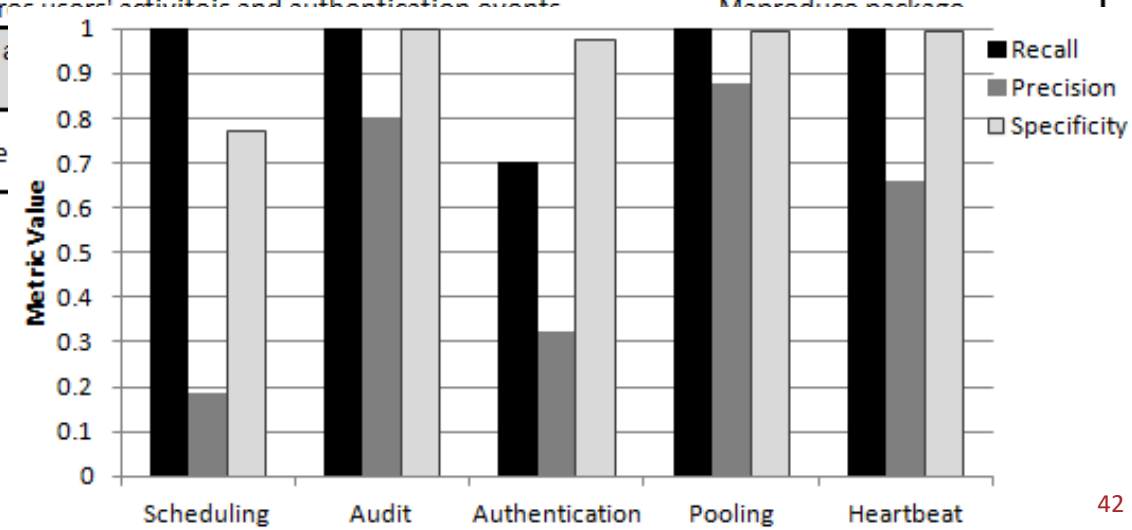


Legend

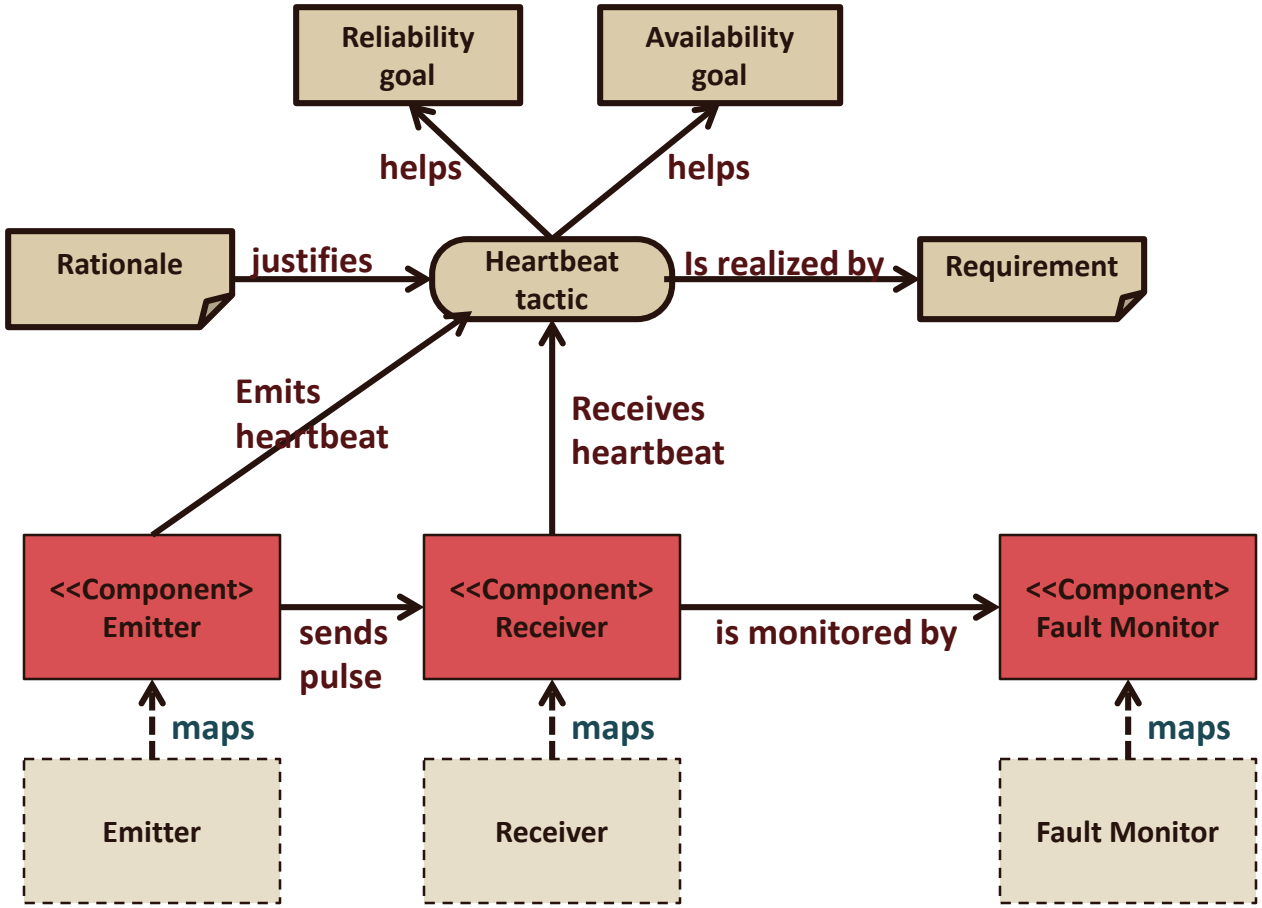
- - - 0.001 term threshold
——— 0.005 term threshold
····· 0.01 term threshold
——— 0.05 term threshold
- - - - 0.1 term threshold

HADOOP Case Study

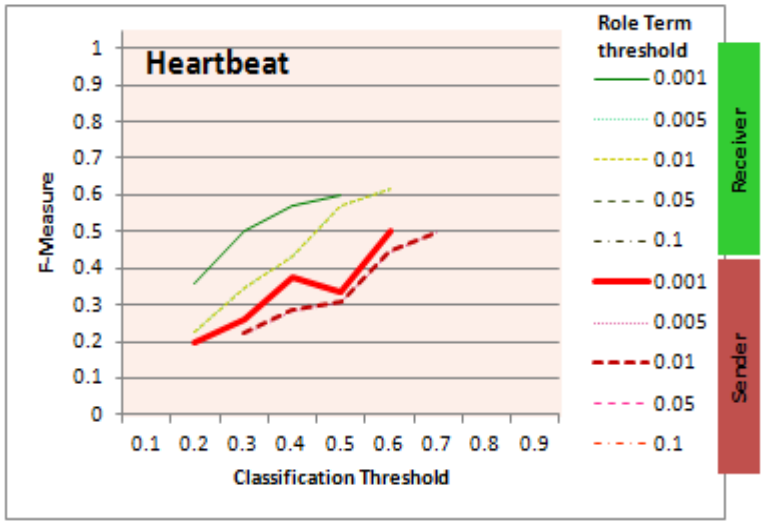
Tactic	Class Count	Explanation	Package name or Subsystem
Heartbeat	12	HDFS uses a master/slave architecture with replication. All slaves send a heartbeat message to the master server indicating their health	MapReduce Subsystem
	15	The MapReduce subsystem uses heartbeat with piggybacking to check the health and execution status of each task running on a cluster.	HDFS Subsystem
Resource Pooling	36	MapReduce uses Thread pooling to improve performance of many tasks e.g. to run the map function.	Mapreduce Package
	7	A global compressor/decompressor pool used to save and reuse codecs.	Compress package
	47	Block pooling is used to improve performance of the distributed file system.	HDFS subsystem
	5	Combines scheduling and job pooling. Organizes jobs into pools, and shares resources between pools.	MapReduce Subsystem
	88	Scheduling services are used to execute tasks and jobs. These include fair-, dynamic-, and capacity-scheduling.	Common and MapReduce
Scheduling	4	Audit log captur	
Audit Trail	35	Users Kerberos subsystems.	
Authentication		The MapReduce	

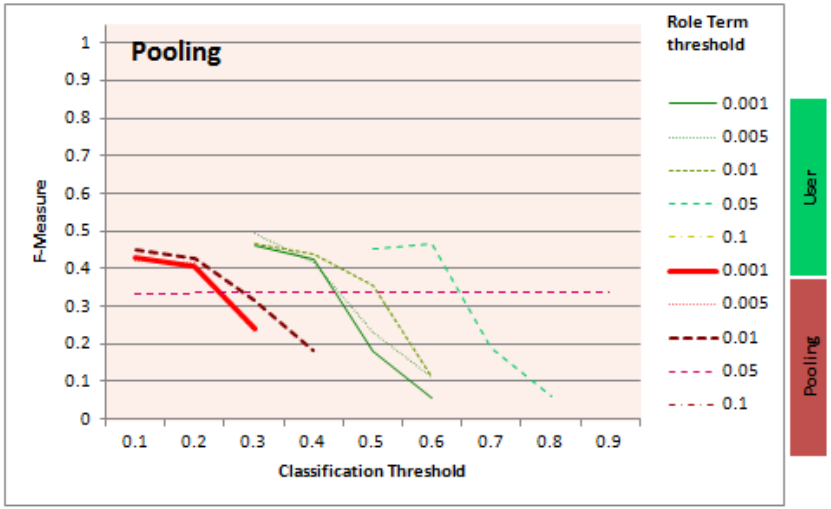


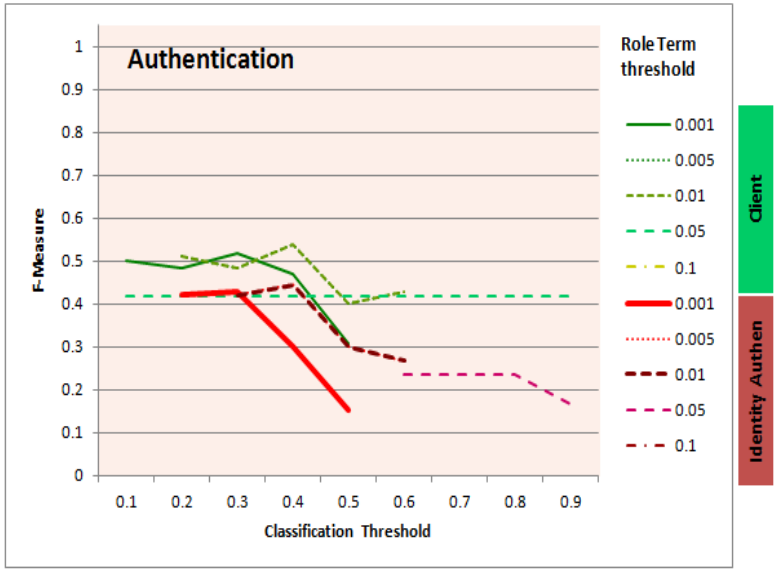
More Challenging: Identifying Roles

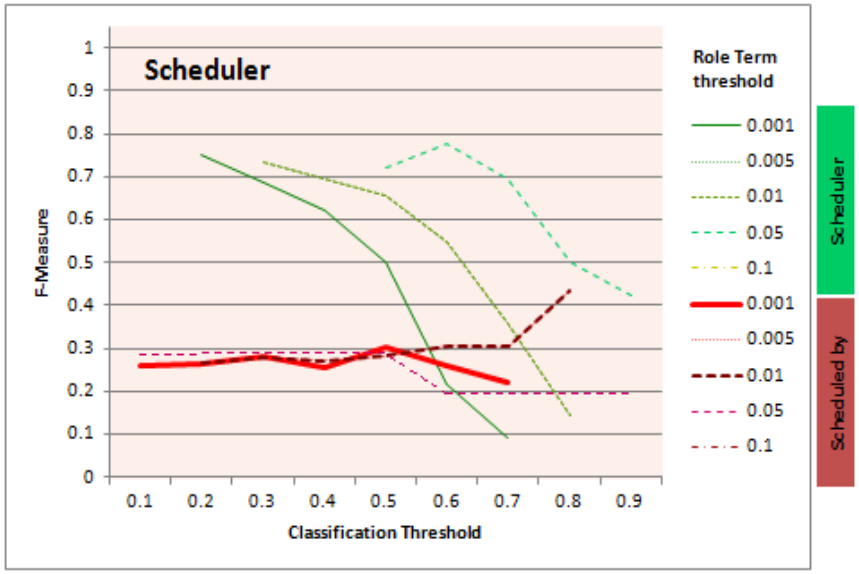


Finding Roles is Hard



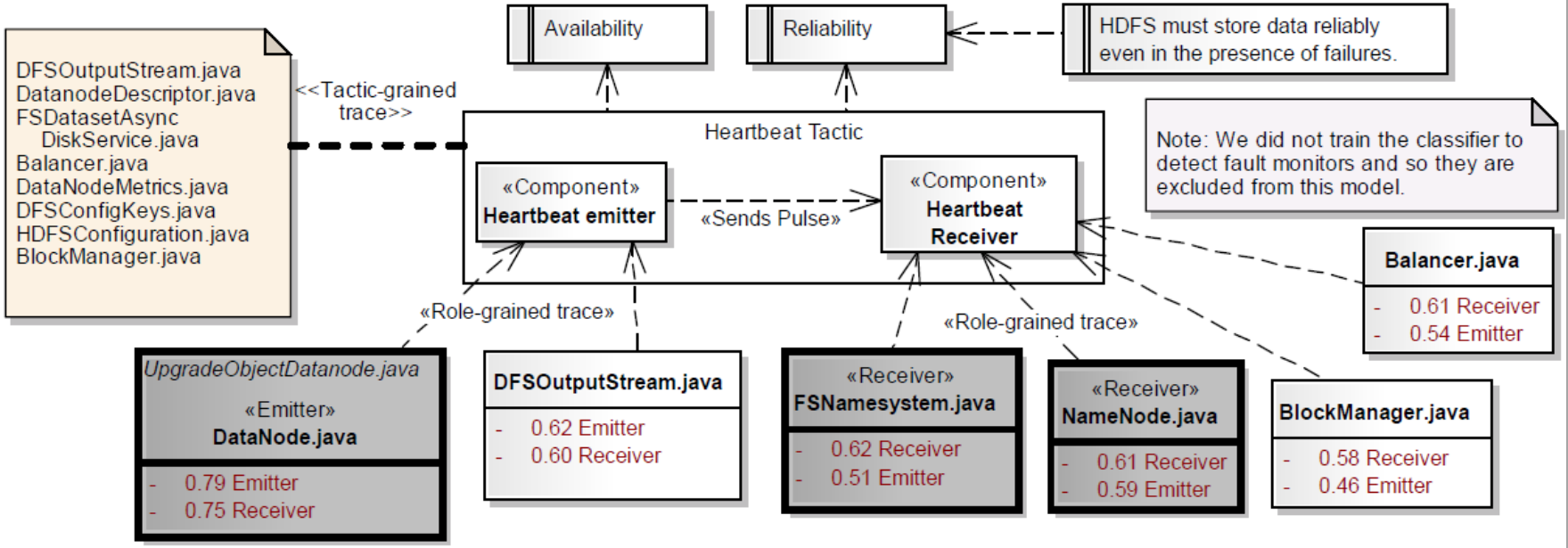






We integrated light-weight structural approaches – but only evaluated them in a single case study.

Tactic-trained Classification / Code Trained



Using Generated Links to mitigate Architectural Decay

- Are automatically reconstructed traceability links good enough for use?
- Evaluated the usefulness of the generated fine-grained traceability links for supporting software maintenance.
- Utilized Hadoop change logs for the past four releases, and simulated the scenario in which generated links were used to control the generation of notification messages.

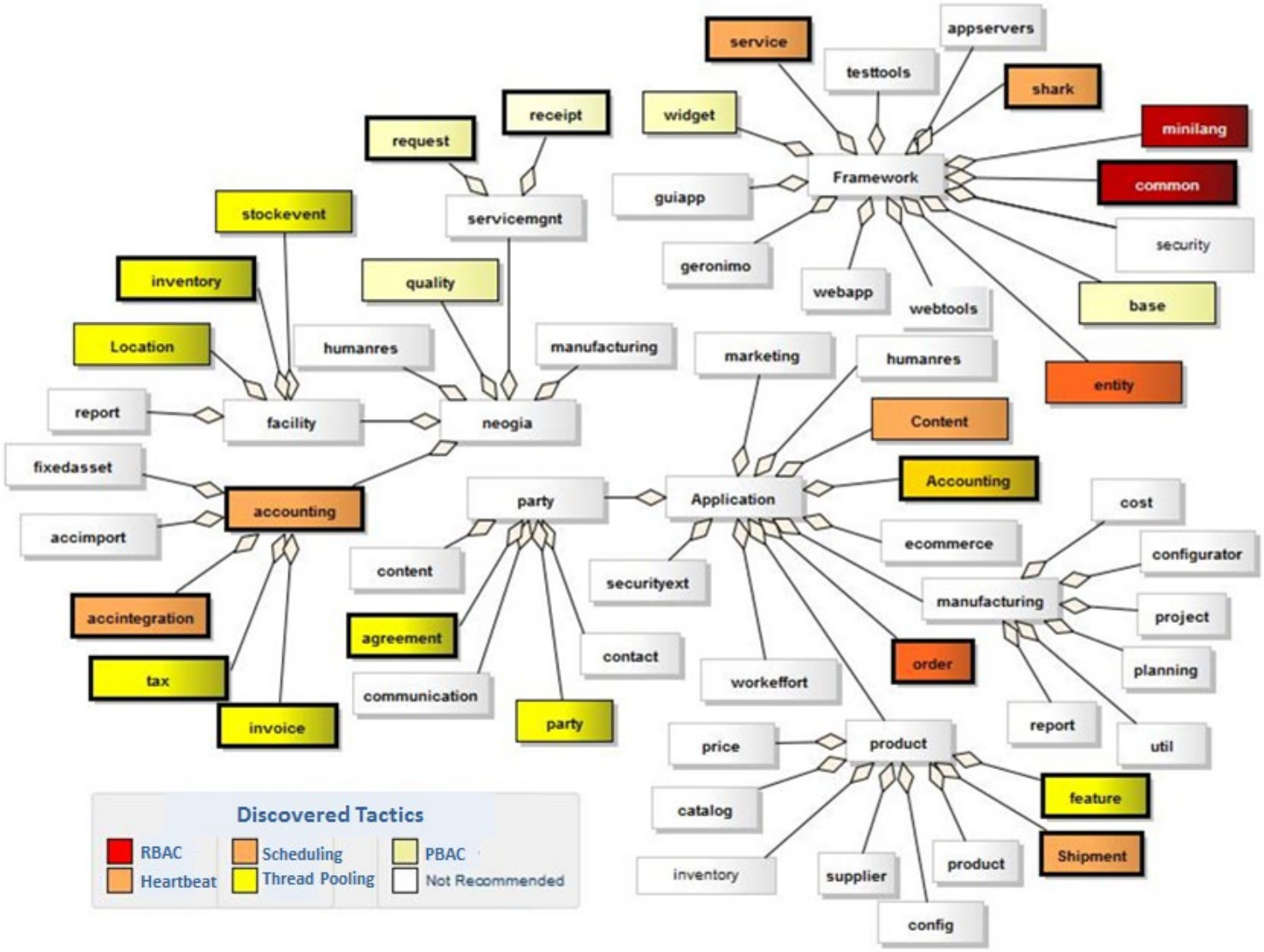
You are modifying **Datanode.java**. This file appears to play the role of **heartbeat emitter** in the heartbeat tactic.

This class therefore contributes to reliability and availability goals. Tell me more.

Please confirm the role of this class in the heartbeat tactic:

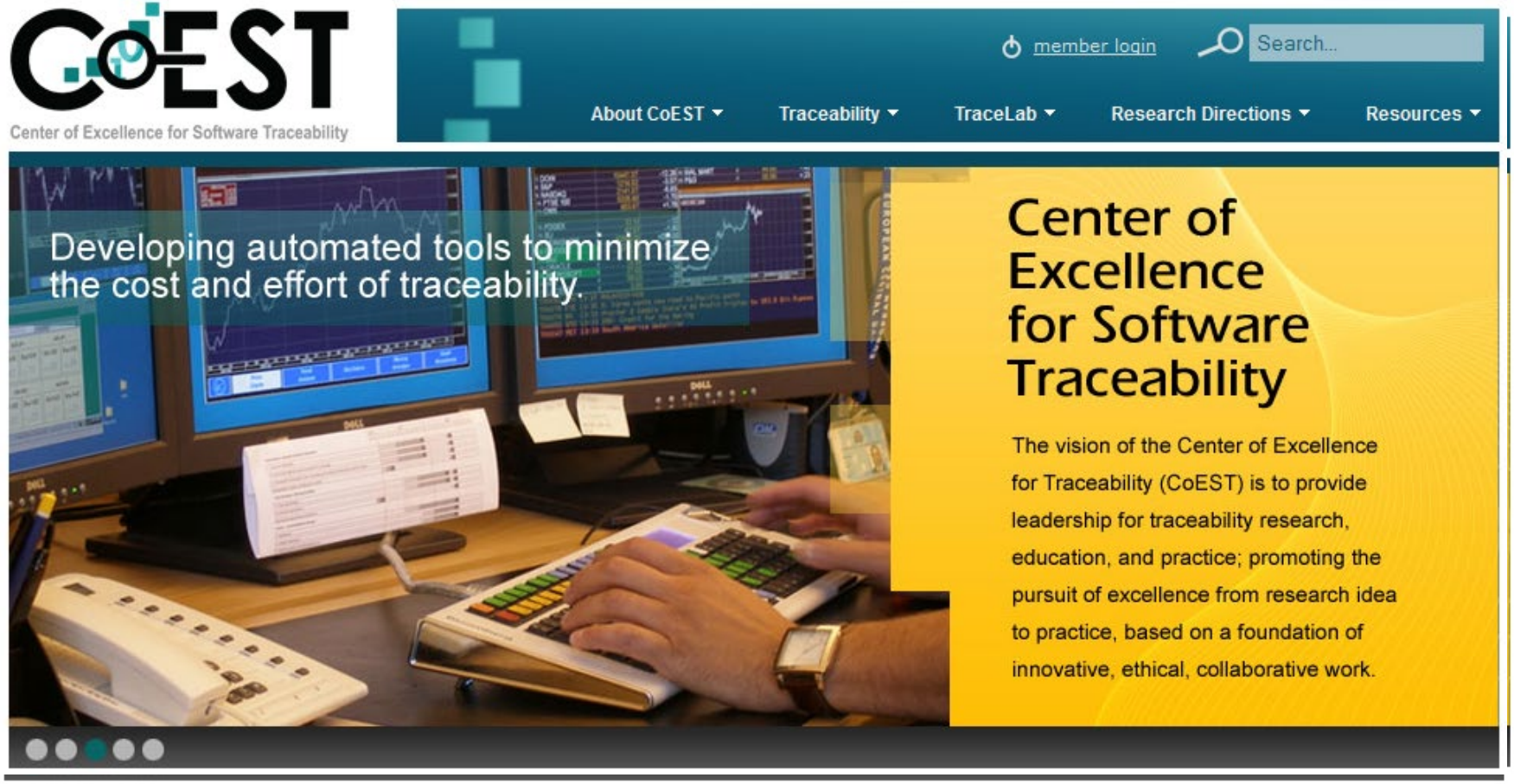
- [x] **Heartbeat emitter** (Prob 79%)
- [ ] Heartbeat sender (Prob 75%)
- [ ] Supporting role
- [ ] Unrelated to heartbeat

Visualizations



Conclusions

- Managing quality concerns (aka NFRs) is a complete life-cycle activity.
- Elicit them early
- Design to satisfy them
- Preserve them
- If necessary, rediscover them



FOCUS: SAFETY-CRITICAL SOFTWARE

Strategic Traceability for Safety-Critical Projects

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An evaluation of traceability information for 10 submissions prepared by manufacturers for review at the US Food and Drug Administration identifies nine widespread traceability problems that affected regulators' ability to evaluate products safety in a timely manner.

FAILURE OF SAFETY-CRITICAL software systems to operate correctly can cause serious harm to the public—consider devices such as pacemakers, nuclear power systems, and train signals, all of which run on safety-critical software. Therefore, teams building safety-critical software products must perform rigorous risk analyses to identify potentially unsafe conditions and their contributing factors. Many projects conduct this process using techniques such as failure modes and effects analysis, fault tree analysis, and hazard and operability studies. The risk analysis produces a set of system-level requirements specifically designed to mitigate or eliminate faults and reduce the likelihood of accidents.[1] These requirements relate to a broad range of factors including, training, testing, process improvements, hardware, human factors, and software design constraints.

In this article, we focus on traceability's role in establishing evidence that device specifications and implementations address identified hazards and their risk control measures (see the "Traceability Standards in Safety-Critical Projects" sidebar).[2] Creating and maintaining trace links can be an arduous, error-prone, and costly process that can have a significant effect on the overall costs and time-to-market for a product.[3-5] Traceability practices, therefore, need to be strategically planned and carefully implemented to provide cost-effective support for evaluating and demonstrating a specific system's safety and security.[6] When traceability isn't implemented strategically, individual stakeholders might create traces that they personally consider to be important or attempt to provide complete trace coverage without considering how the resulting trace links will be used. A brute-force approach to traceability has been shown in practice to be difficult to implement, almost impossible to maintain, and not particularly helpful for providing evidence that a system or device is safe for its intended use.

We present six practices for strategic traceability, derived from our own observations of effective traceability in industrial projects and supported by current literature.[7-9] We also identify nine recurring problems, each of which reduces the effectiveness of traceability verification efforts and increases the difficulty experienced by regulators in evaluating product safety. All the observations in this article are based on actual observations, but the illustrative examples are either fictitious or built on obfuscated data.

Effective Practices for Tracing in Safety-Critical Projects

Although all the cases reported in this article are safety-critical in nature, many of the problems that we discuss are also applicable to software and

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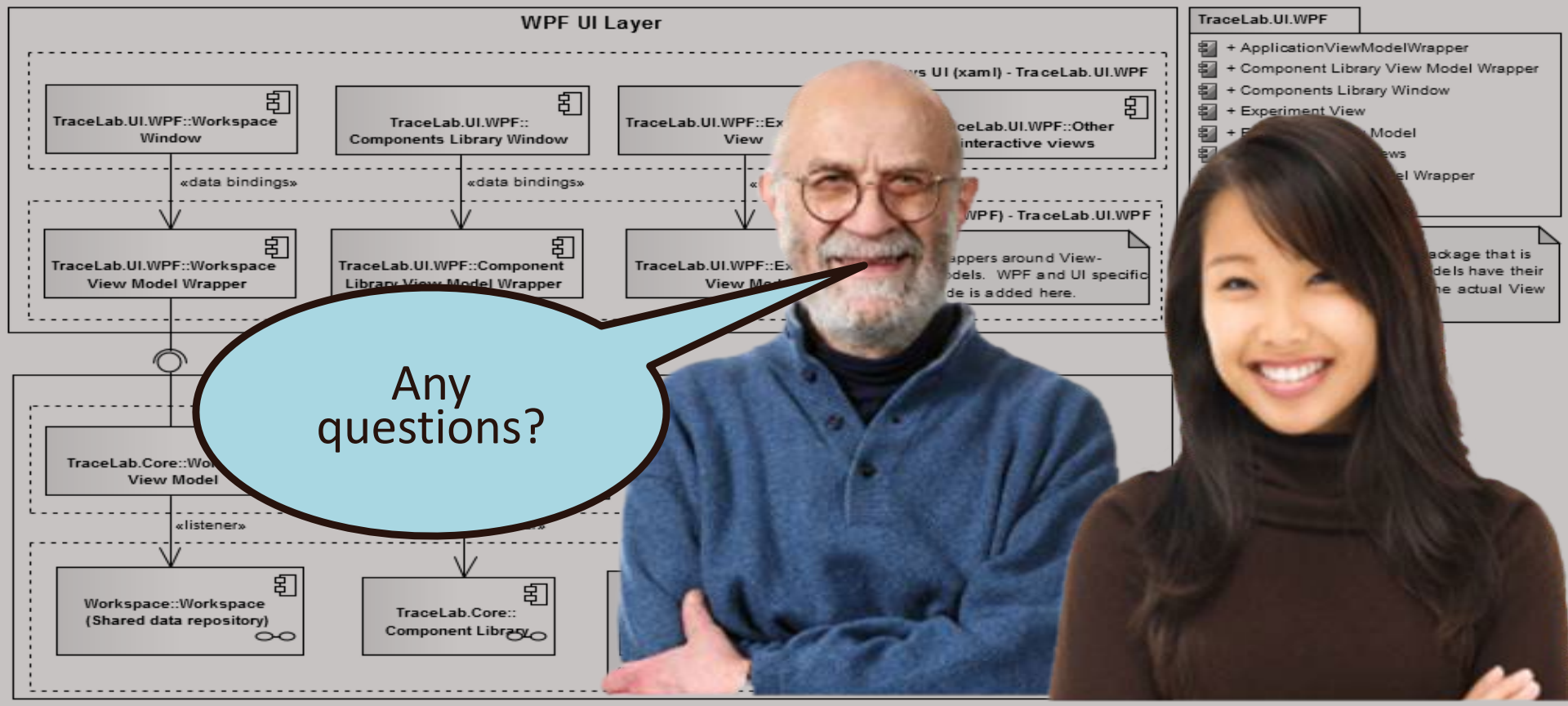

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