



**CSC40232: SOFTWARE ENGINEERING**

Professor: Jane Cleland-Huang  
Term Projects + Some background  
[sarec.nd.edu/courses/SE2017](http://sarec.nd.edu/courses/SE2017)



Department of  
Computer Science and  
Engineering

## Today's Goal

- Discuss the Projects we'll be working on and the domain of the projects.
  - I've posted all project descriptions to Sakai. These are draft documents.
- Discuss the environment (Eclipse).

## Program Comprehension

- Goes far beyond the ability to read syntax.
- Developers need to be able to truly understand source code in order to perform a diverse set of tasks:
  - Defect analysis and cause identification
  - Code inspection – understanding what the code actually does.
  - Code revisions and enhancements
    - Can a change request be made safely?
    - Understanding the original design intent (rationale) so that a change doesn't inadvertently impact the design quality.



## Supporting Developers

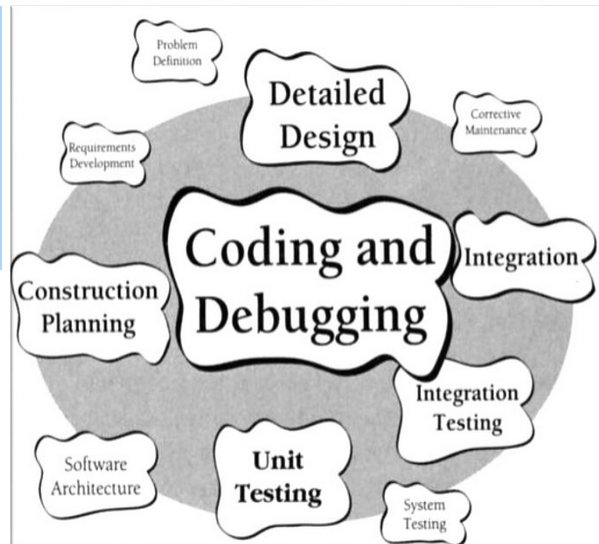
We can provide tools to support developers better understand the code.

Design Rationales

Context

Trace Link Evolution

Safety Assurance Case



## What is Traceability?

The ability to identify and document the lineage of each requirement, including its derivation (backward traceability), its allocation (forward traceability), and its relationship to other requirements.

*International Institute of Business Analysis  
Body of Knowledge  
Version 2.0*



Traceability is of particular concern in safety & mission-critical systems.

5

## Why Trace?

- Requirements validation
- Coverage analysis
- Change impact analysis
- Code comprehension
- Preservation of architectural knowledge
- Test regression selection
- Compliance verification
- Safety Analysis



Supporting regulatory compliance in safety critical software systems.



Advancing traceability practices in our health-care systems.

6

## Standards Require it



The **Federal Aviation Administration's (FAA)** DO-178B standard specifies that at each and every stage of development “software developers must be able to demonstrate traceability of designs against requirements.

The **U.S. Food and Drug Administration (FDA)** states that traceability analysis must be used to verify that the software design implements all of the specified software requirements, that all aspects of the design are traceable to software requirements, and that all code is linked to established specifications and test procedures.

**Process improvement processes** such as CMMI also require traceability.

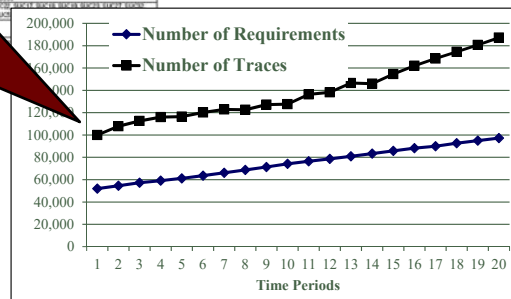
7

..but

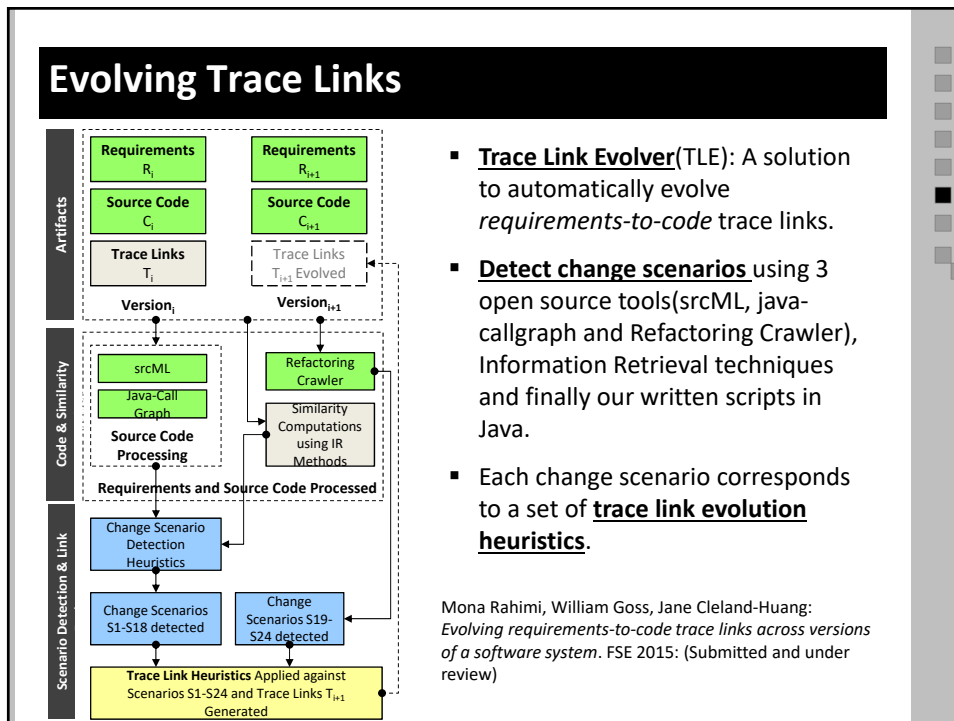
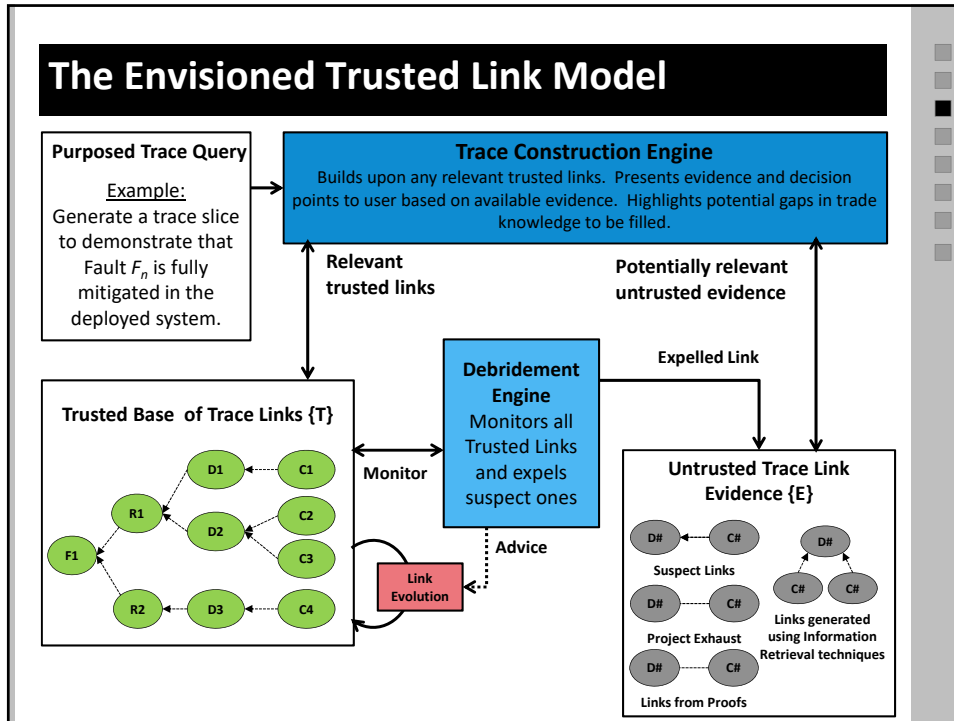
**Too much tracing!!**

Excessive numbers of traceability links deteriorate into an unwieldy, inaccurate, tangle of relationships.

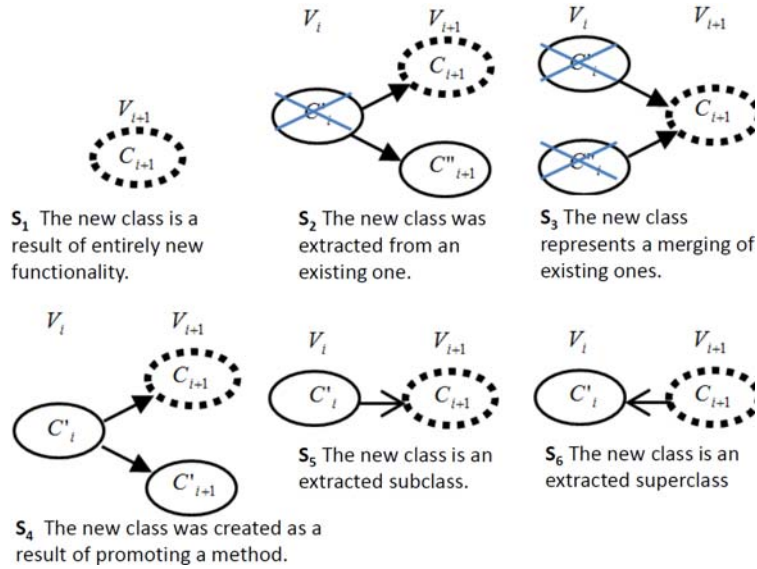
The number of requirements and other artifacts grow quickly as the project progresses.



8



## Scenarios Related to "Added Class"



## Covered Scenarios

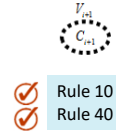
Added Class	Deleted Class	Added Meth.
S <sub>1</sub> NewFunc.	S <sub>7</sub> ObsoleteFunc.	S <sub>10</sub> NewFunc.
S <sub>2</sub> ExtractedClass	S <sub>8</sub> DividedClass	S <sub>11</sub> ExtractedMeth.
S <sub>3</sub> MergedClasses	S <sub>9</sub> MergedClasses	S <sub>12</sub> MergedMethods
S <sub>4</sub> PromotedMethod		S <sub>13</sub> DividedMethod
S <sub>5</sub> ExtractedSubclass		
S <sub>6</sub> ExtractedSuperclass		

Deleted Meth.	Changed Meth.	Basic
S <sub>14</sub> ObsoleteFunc.	S <sub>17</sub> NewFunc.	S <sub>19</sub> RenameClass
S <sub>15</sub> DividedMethod	S <sub>18</sub> ObsoleteFunc.	S <sub>20</sub> ChangeMethodSig
S <sub>16</sub> MergedMethods		S <sub>21</sub> MoveMethod
		S <sub>22</sub> PullupMethod
		S <sub>23</sub> PushdownMethod
		S <sub>24</sub> Ren. Method

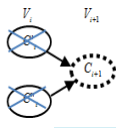
# We check properties to infer events

Rule Type	Artifact Properties	Rules for Detecting Scenario $S_1 - S_{24}$
Add Class	$1. \exists C_{i+1} \exists R_{i+1}$	S1
Delete Class	$2. \exists C_i \exists R_i$	S2
Add Method	$3. \exists m_{i+1} \exists R_{i+1}$	S3
Delete Method	$4. \exists m_i \exists R_i$	S4
Mod Method	$5. \exists m_i \exists R_{i+1} \exists Sim(m_i, m_{i+1})$	S5
Checks for links between classes	$6. \exists Sim(C_{i+1}, C_i) \wedge \exists Sim(R_{i+1}, R_i)$	S6
Checks for links between classes and requirements	$7. \exists Sim(r, C_{i+1}) \subseteq R_{i+1}$	S7
Checks for methods in classes	$8. \exists Sim(m_i, C_{i+1}) \subseteq R_{i+1}$	S8
Checks for associations between classes	$9. \exists A(C_{i+1}, C_i) \subseteq R_{i+1}$	S9
Checks for the existence of classes, methods, and requirements	$10. \exists C_{i+1} \wedge \exists R_{i+1}$	S10

Scenario 1: New class added new functionality



Scenario 3: New class is the merging result of two old classes



- Rule 10
- Rule 40
- Rule 7
- Rule 15
- Rule 23
- Rule 29
- Rule 46

# Link Heuristics evolve links..

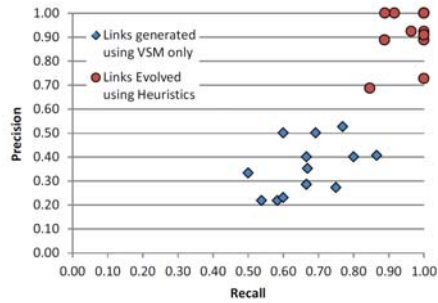
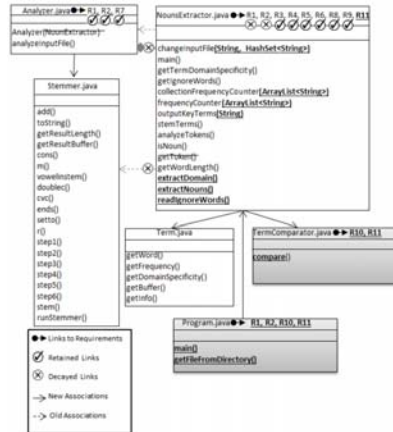
Link Evolution Action	Link Evolution Action
S1	$l(C_{i+1}, R_{i+1}) \mid Sim(C_{i+1}, R_{i+1})$
S2	$l(C_{i+1}, R_{C_i'})$
S3	$l(C_{i+1}, R_{C_i'} \cup R_{C_i''})$ and $l(C_i', R_{C_i'})$ and $l(C_i'', R_{C_i''})$
S4	$l(C_{i+1}, R_{m_i'})$ and $l(C_{i+1}, R_{m_i''})$
S5	$l(C_{i+1}, R_{C_i'})$
S6	$l(C_{i+1}, R_{C_i'})$
S7	$l(C_i, R_{C_i'})$
S8	$l(C_{i+1}, R_{C_i'})$ and $l(C_{i+1}, R_{C_i'})$ and $l(C_i, R_{C_i'})$
S9	$l(C_{i+1}, R_{C_i'})$ and $l(C_{i+1}, R_{C_i'})$ and $l(C_i, R_{C_i'})$ and $l(C_i', R_{C_i'})$
S10	$l(m_{i+1}, R_{i+1}) \mid Sim(m_{i+1}, R_{i+1})$
S11	$l(m_{i+1}, R_{m_i'})$
S12	$l(m_{i+1}, R_{m_i'} \cup R_{m_i''})$ and $l(m_{i+1}, R_{m_i'})$ and $l(m_{i+1}, R_{m_i''})$
S13	$l(m_{i+1}, R_{m_i'})$ and $l(m_{i+1}, R_{m_i'})$ and $l(m_i, R_{m_i'})$
S14	$l(m_i, R_{m_i'})$
S15	$l(m_{i+1}, R_{m_i'})$ and $l(m_{i+1}, R_{m_i'})$ and $l(m_i, R_{m_i'})$
S16	$l(m_{i+1}, R_{m_i'})$ and $l(m_{i+1}, R_{m_i'})$ and $l(m_i, R_{m_i'})$ and $l(m_i', R_{m_i'})$
S17	$l(m_{i+1}, R_{m_i'}) \mid Sim(m_{i+1}, R_{m_i'})$
S18	$l(m_i, R_{m_i'}) \mid \exists Sim(m_{i+1}, R_{m_i'})$
S19	$l(C_{i+1}, R_{C_i'})$ and $l(C_{i+1}, R_{C_i'})$
S20	null
S21	$l(C_i, m_i) \mid l(C_i, m_i)$
S22	$l(C_i, m_i) \mid l(C_i, m_i)$
S23	$l(C_i, m_i) \mid l(C_i, m_i)$
S24	$l(m_{i+1}, R_{m_i'})$ and $l(m_{i+1}, R_{m_i'})$

- Scenario 1 is detected: Create trace links between the related requirements and new class.
- Scenario 3 is detected: Create trace links to the requirements already linked to the two old merged classes and make the previous links to the two old classes obsolete.



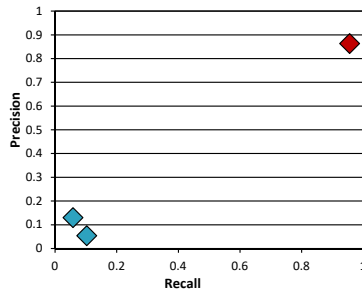
## Results from an initial study

- 13 developers modified code and added new features.
- Answer trace matrix created.
- Results compared for generating trace links from scratch vs. evolving them.



## Results from Cassandra Study

- Evolved trace links across 27 versions of Cassandra.
- Starting Features: 48,  $V_{Start}$ : 488 Classes,  $V_{Final}$ : 702 classes
- 3 major revisions, 23 minor ones. Average # changes: 23.5



**Results:**

**TL:** Recall: 0.956, Precision: 0.863

**VSM:** Recall: 0.103, Precision: 0.054

**LSI:** Recall: 0.058, Precision: 0.130

**F1:** An authenticator handles the authentication challenge/response cycles of a single connection.

**Classes:**

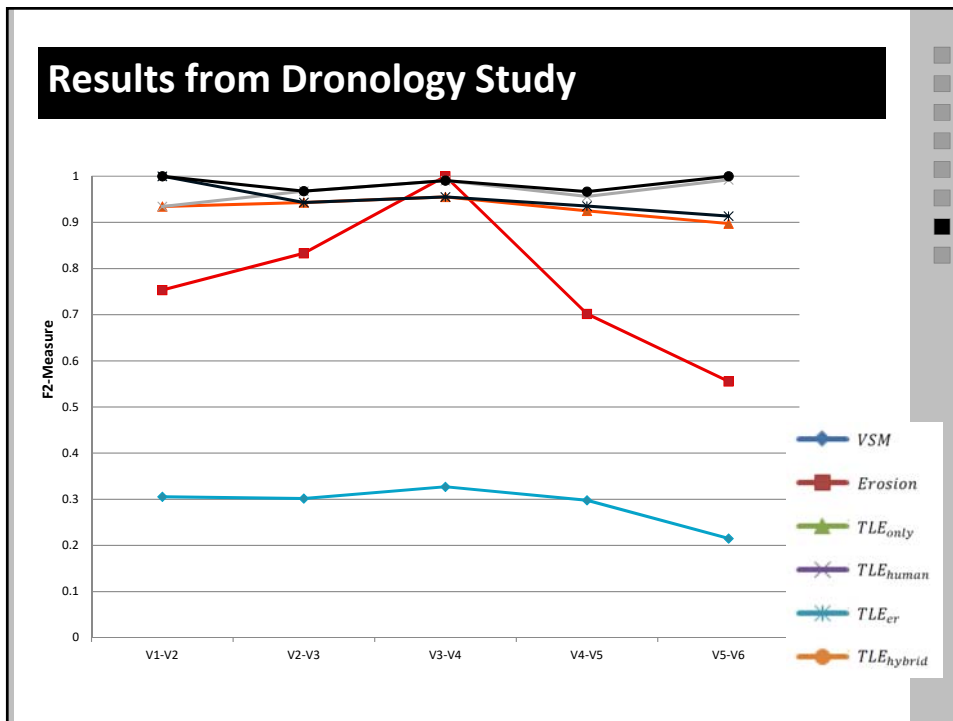
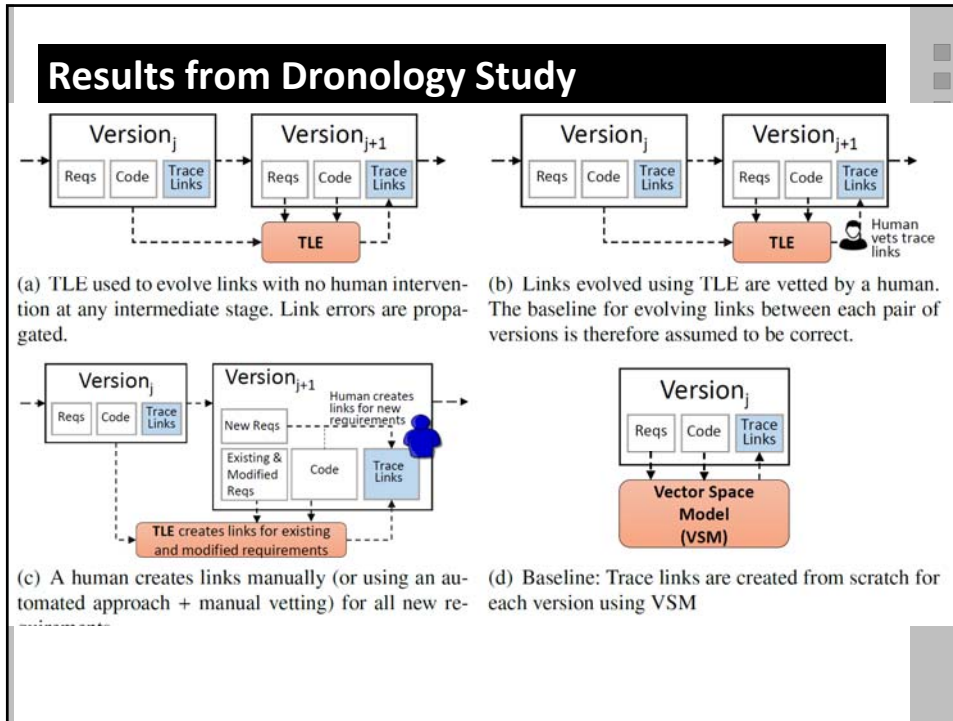
AllowAllAuthenticator  
Permission etc.

**F3:** Cassandra provides a built-in row cache for super-fast access to frequently requested data, competitive with standalone caching products.

**Classes:**

FreeableMemory,  
ConcurrentLinked  
HashCache etc





## Why Trace?

- Requirements validation
- Coverage analysis
- Change impact analysis
- **Code comprehension**
- Preservation of architectural knowledge
- Test regression selection
- Compliance verification
- **Safety Analysis**



19

## Evolving Links

Evolve Links

```

package model.flights.data;

import java.util.ArrayList;

/**
 * tracks the status of all flights as they move through the cycle of pending, await
 * @author Jane Sleland-thoms
 */
public class Flights {
    ArrayList<FlightPlan> pendingFlights;
    ArrayList<FlightPlan> awaitingTakeoffFlights;
    ArrayList<FlightPlan> currentFlights;
    ArrayList<FlightPlan> completedFlights;
    static int maximumAllowedCurrentFlights = 2;
    SafetyManager safetyMgr;
    FlightZoneView fZoneView;
    boolean grounded = false;

    /**
     * Constructor
     * @param fZoneView View needed to update panel showing flight status
     * @param safetyMgr needed to check for safe takeoff
     * @throws InterruptedException
     */
    public Flights(FlightZoneView fZoneView, SafetyManager safetyMgr) throws InterruptedException {
        this.fZoneView = fZoneView;
        pendingFlights = new ArrayList<FlightPlan>();
        currentFlights = new ArrayList<FlightPlan>();
        completedFlights = new ArrayList<FlightPlan>();
    }
}
    
```

Outline:

- model.flights.data
  - Flights
    - pendingFlights: ArrayList<FlightPlan>
    - awaitingTakeoffFlights: ArrayList<FlightPlan>
    - currentFlights: ArrayList<FlightPlan>
    - completedFlights: ArrayList<FlightPlan>
    - maximumAllowedCurrentFlights: int
    - safetyMgr: SafetyManager
    - fZoneView: FlightZoneView
    - grounded: boolean

Promoted method

We recommend moving the trace link from R2 to point to Flight Manger...

## Context Support for Maintenance

The screenshot shows an IDE window with a Java file named `Flights.java`. The code defines a `Flights` class that manages flight plans, including pending, current, and completed flights. A dependency graph is overlaid on the code, showing nodes for `Flights`, `Safety Manager`, `Roundabout`, `R25`, `R19`, `R20`, `R23`, and `F6`. A warning icon is present near the code.

Below the code, a link matrix diagram shows the following connections:

- Design Decisions (6) → Requirements (44) (25 Links)
- Requirements (44) → Faults (14) (26 Links)
- Requirements (44) → Code (32 classes, 2,070 LOC) (80 Links)
- Code (32 classes, 2,070 LOC) → Environmental Assumptions (14) (27 Links)

A text box next to the graph states: "Be careful making changes in flights because it is associated with the fault F6 which should not be changed that..."

## Design Rationales

- Software lifecycle is very long and maintenance costs are very high.
- Original designers are unlikely to be available.
- Design Rationales help maintainers find problems, fix problems, and extend software with less risk
  - Captures designer's intent !
  - Avoids duplicating past effort by providing alternatives already considered !
  - Avoids repeating past mistakes by documenting when something was tried and failed
  - Helps developer understand how the code works.

## Design Rationales

A **design rationale** is an explicit documentation of the reasons behind decisions made when designing a system or artifact. As initially developed by W.R. Kunz and Horst Rittel, design rationale seeks to provide argumentation-based structure to the political, collaborative process of addressing wicked problems.

Wikipedia!

In its basic form, design rationales could allow us to describe a set of design decisions and their rationales and trade-offs.

Formal argumentation structures are possible – but likely won't be used in practice.

*What are the design decisions associated with this code class?*

*Reasons that the decisions were made.*

*Alternate design decisions that were considered (if any) and their associated tradeoffs.*

## Context Support for Maintenance

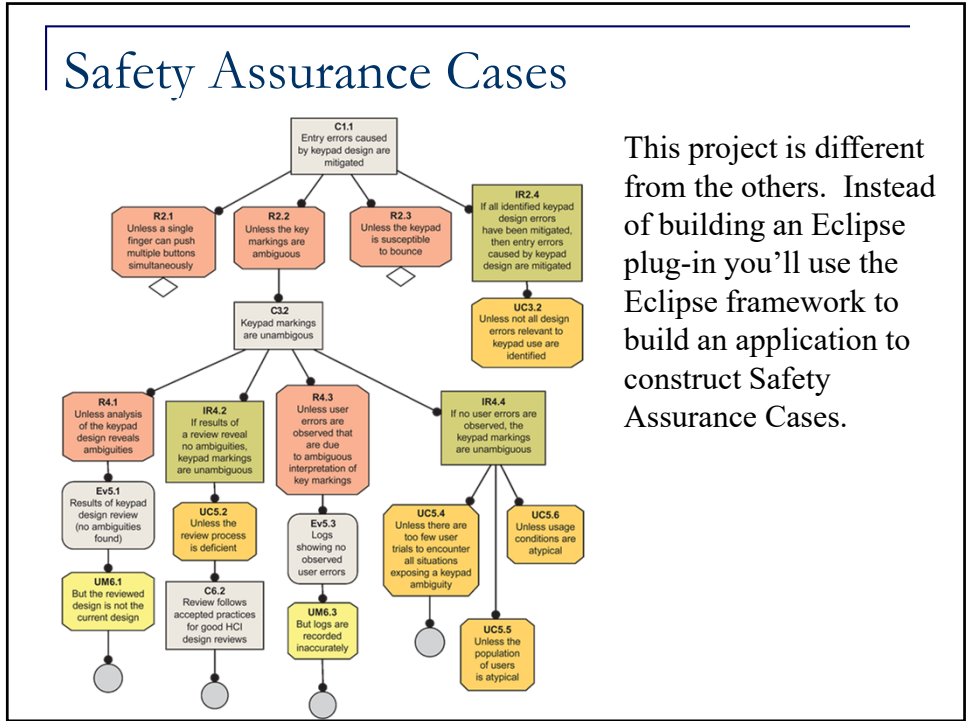
The screenshot shows an IDE window with the following components:

- Package Explorer:** Shows a project structure with folders like 'control', 'model', and 'view'.
- Code Editor:** Displays the source code for the `Flights` class in `FlightStatusPanel.java`. The code includes imports, class fields, and a constructor.
- Design Rationale Screen:** A tooltip box is overlaid on the code, containing the text:
 

*Design Rationale Screen appears if design rationales are associated with the current class.*

*Other options (as in document) exist.*
- Task List:** Shows a list of tasks related to the current class, including `pendingFlights`, `waitingTakeOffFlights`, `currentFlights`, `completedFlights`, `maximumAllowedCurrentFlights`, `safetyMgr`, `safetyMgr`, `flightZoneView`, `grounded`, and `groundedFlights`.

## Safety Assurance Cases



This project is different from the others. Instead of building an Eclipse plug-in you'll use the Eclipse framework to build an application to construct Safety Assurance Cases.